

PQCrypto 2022

A New Fault Attack on UOV Multivariate Signature Scheme

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Outline

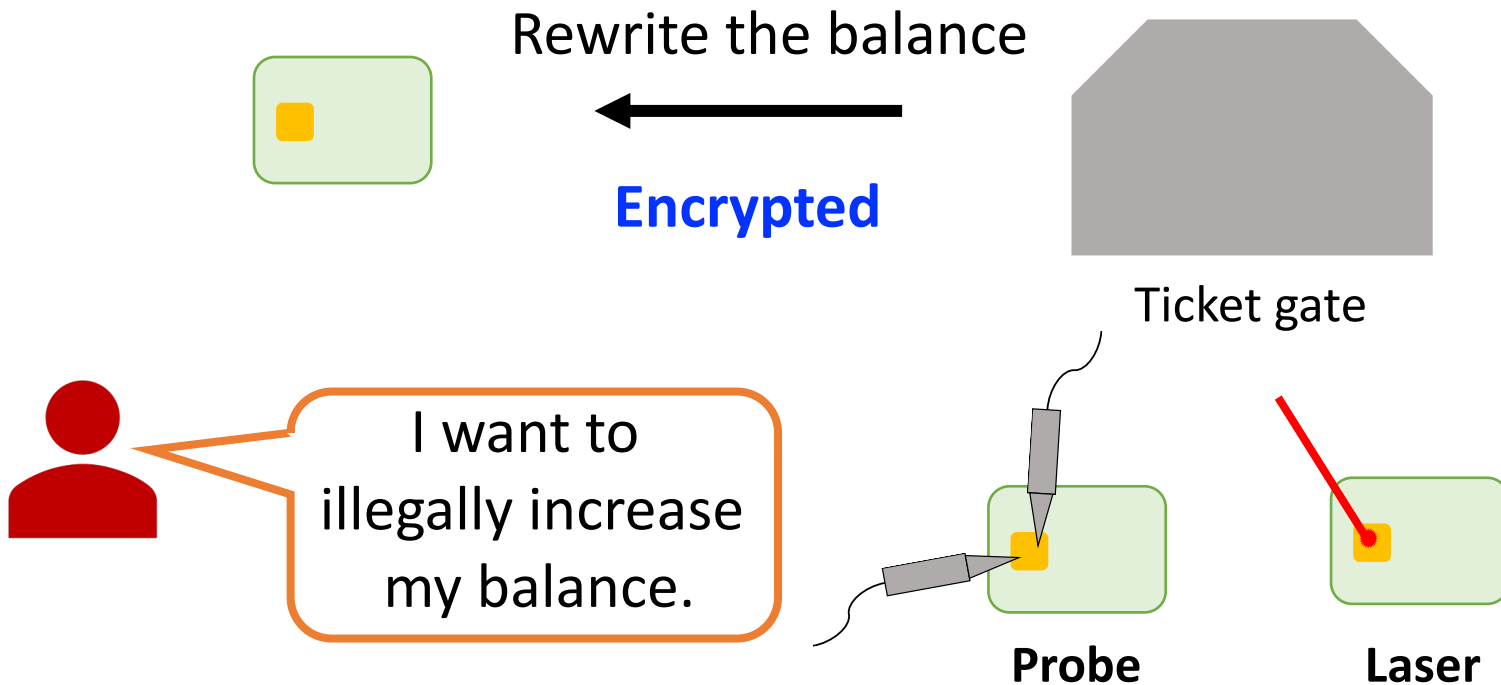
- **Fault Attacks**
- UOV
- Our Proposed Attack
- Conclusion

Physical Attacks

Physical Attacks:

utilize physical access to the cryptographic devices

Ex) Smart cards



Physical Attack

- **Probing attack**

Extract sensitive information by direct access to the internal.

- **Fault attack**

Stress the device by voltage or light and generate errors which lead to a security failure of the system.

- **Side-channel attack**

Exploit timing information, power consumption, and electromagnetic leaks.

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MPKC

- **Multivariate Public Key Cryptosystems (MPKC)**
 - based on the difficulty of **MQ problem**
 - candidates for **post quantum cryptosystems**
 - mainly used for **digital signature**

MQ (Multivariate Quadratic equations) problem

Given $\mathcal{F} = (f_1, \dots, f_m) \in \mathbb{F}_q[x_1, \dots, x_n]^m$ with $\deg f_i = 2$,

find *one solution* $(a_1, \dots, a_n) \in \mathbb{F}_q^n$ such that

$$\mathcal{F}(a_1, \dots, a_n) = \mathbf{0} \in \mathbb{F}_q^m.$$

Unbalanced Oil and Vinegar

[Kipnis et al., EUROCRYPT 1999]

- One of multivariate signature schemes
- UOV has essentially not been broken for over 20 years.
- Rainbow (third-round finalist) is a variant of UOV.

Advantage

- Small signature
- Short execution time

Disadvantage

- Large public key

Key Generation

$$n, m \in \mathbb{N} \quad (n > m)$$

n : the number of variables, m : the number of equations

① Central map

$$\mathcal{F} = (f_1, \dots, f_m): \mathbb{F}_q^n \rightarrow \mathbb{F}_q^m \quad \text{[invertible quadratic map]}$$

$$f_k = \sum_{i=1}^n \sum_{j=1}^v \alpha_{ij}^{(k)} x_i x_j \quad (v = n - m)$$

② $\mathcal{T}: \mathbb{F}_q^n \rightarrow \mathbb{F}_q^n$ [linear map]

③ $\mathcal{P} = \mathcal{F} \circ \mathcal{T}$ [quadratic map]

Public Key: \mathcal{P} , Secret Key: $(\mathcal{F}, \mathcal{T})$

Unbalanced Oil and Vinegar

Message	$m \in \mathbb{F}_q^m$
Signature	$s = \mathcal{J}^{-1} \circ \mathcal{F}^{-1}(m)$
Verification	$m \stackrel{?}{=} \mathcal{P}(s)$

Computing \mathcal{F}^{-1}

- ① Fix variables x_1, \dots, x_v randomly

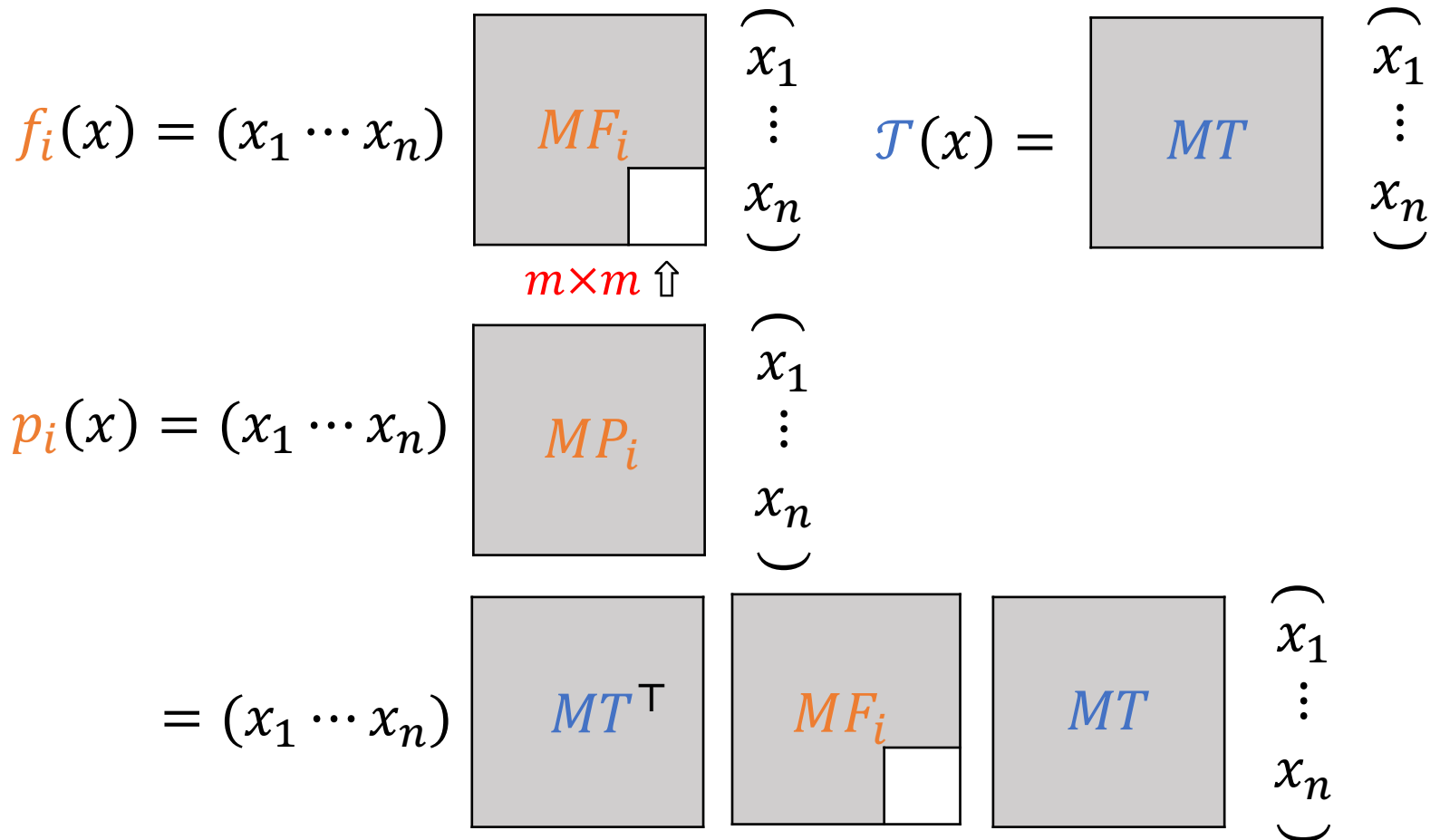
$$f_k = \sum_{i=1}^v \sum_{j=1}^v \alpha_{ij}^{(k)} x_i x_j + \sum_{i=v+1}^n \sum_{j=1}^v \alpha_{ij}^{(k)} x_i x_j$$

- ② Solving a linear polynomial in x_{v+1}, \dots, x_n
(m equations, m variables)

✘ If there does not exist a solution, return to ①.

Representation Matrices

• $(p_1, \dots, p_m) = (f_1, \dots, f_m) \circ \mathcal{J}$



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Fault Attacks on UOV

- cause a fault to change **a coefficient of the secret key**
- cause a fault such that **random values in computing \mathcal{F}^{-1}** are fixed to the same values.

signature scheme	fault on secret key	fault on random values
UOV	Our Result	①
Rainbow	①	①
LUOV	②*	(①)

① [Hashimoto et al., PQCrypto 2011]

② [Mus et al., CCS 2020]

Attack Model

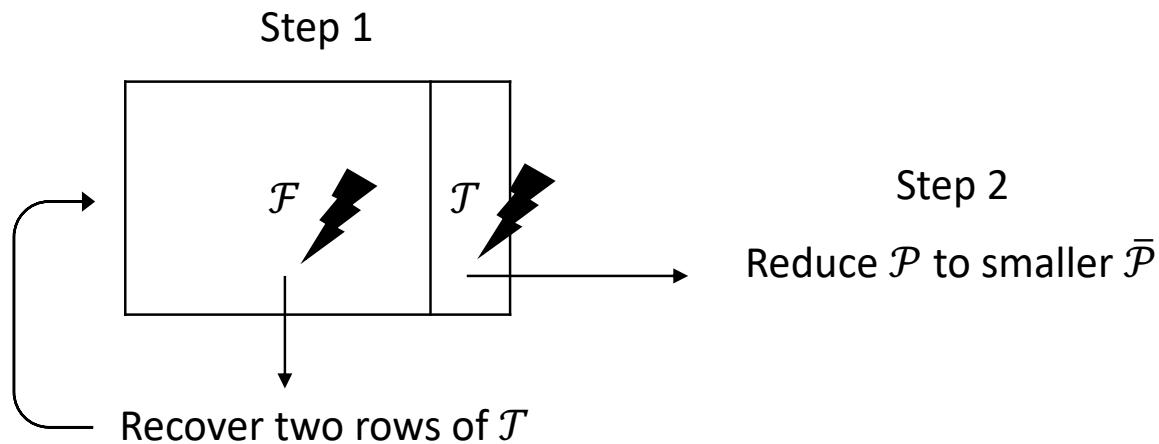
(following ① [Hashimoto et al., PQCrypto 2011])

- One fault changes one coefficient of the secret key \mathcal{F}, \mathcal{T} .
- A coefficient of \mathcal{F}, \mathcal{T} changed by a fault is **randomly chosen**.
 $\mathcal{F}: O(\lceil \log q \rceil \cdot n^2 \cdot m)$ bit, $\mathcal{T}: O(\lceil \log q \rceil \cdot n^2)$ bit
⇒ Faults are caused on \mathcal{F} with high probability.
- The attacker cannot know the location of the faults.
- Coefficients changed by the faults do not return to the original values (even if new faults are injected).

Rough Description

Step1: Recover some rows of the secret key \mathcal{J}
by utilizing faults caused on \mathcal{F} .

Step2: Transform the public key \mathcal{P} into
a public key system $\bar{\mathcal{P}}$ with **fewer variables**.



Step1: Basic Strategy

Assumption: \mathcal{F} is changed into \mathcal{F}' by a fault.

$$\left(\alpha_{ij}^{(k)} \rightarrow \alpha'_{ij}{}^{(k)} : f_k = \sum_{i=1}^n \sum_{j=1}^v \alpha_{ij}^{(k)} x_i x_j \right)$$

① Randomly choose $m_\ell \in \mathbb{F}_q^m$.

② $s_\ell := \mathcal{J}^{-1} \circ \mathcal{F}'^{-1}(m_\ell)$

(using signing oracle with the fault)

③ $\delta_\ell := \mathcal{P}(s_\ell) - m_\ell$

Signing Oracle
(Secret Key)

- Input : Message
- Output: Signature

Step1: Basic Strategy

$$\textcircled{1} m_\ell \in \mathbb{F}_q^m$$

$$\textcircled{2} s_\ell := \mathcal{T}^{-1} \circ \mathcal{F}'^{-1}(m_\ell)$$

$$\textcircled{3} \delta_\ell := \mathcal{P}(s_\ell) - m_\ell$$

$$\begin{aligned} \delta_\ell &= (\mathcal{F} \circ \mathcal{T})(s_\ell) - (\mathcal{F}' \circ \mathcal{T})(s_\ell) \\ &= (\mathcal{F} - \mathcal{F}') \circ \mathcal{T}(s_\ell) \end{aligned}$$

$$(0, \dots, 0, (\alpha_{ij}^{(k)} - \alpha'_{ij}{}^{(k)}) x_i x_j, 0, \dots, 0)$$

$$= (0, \dots, 0, (\alpha_{ij}^{(k)} - \alpha'_{ij}{}^{(k)}) \underline{(\mathcal{T}(s_\ell))_i} \underline{(\mathcal{T}(s_\ell))_j}, 0, \dots, 0)$$

The i -th and j -th elements of $\mathcal{T}(s_\ell)$

Step1: Basic Strategy

$$\begin{aligned}(\delta_\ell)_k &= \underbrace{\left(\alpha_{ij}^{(k)} - \alpha'_{ij}{}^{(k)}\right)}_{= \beta} \underbrace{\left(\mathcal{J}(s_\ell)\right)_i \left(\mathcal{J}(s_\ell)\right)_j}_{= \sum_{p=1}^n t_{ip}(s_\ell)_p \quad (t_{ij} : (i,j)\text{-th element of } MT)} \\ &= \beta (t_{i1}(s_\ell)_1 + \cdots + t_{in}(s_\ell)_n) (t_{j1}(s_\ell)_1 + \cdots + t_{jn}(s_\ell)_n) \\ &= \beta \sum_{p \leq q} (s_\ell)_p (s_\ell)_q \underbrace{\begin{cases} (t_{ip}t_{jq} + t_{iq}t_{jp}) & (p \neq q) \\ t_{ip}t_{jp} & (p = q) \end{cases}}_{= y_{pq}}\end{aligned}$$

- $(\delta_\ell)_k, s_\ell$ are known \Rightarrow a linear polynomial in variables y_{pq}
- $(t_{i1}, \dots, t_{in}), (t_{j1}, \dots, t_{jn})$ can be recovered from y_{pq} .

Step1: Description

- ① Cause a new fault ($\mathcal{F} \rightarrow \mathcal{F}'$)
- ② Prepare $((\delta_1)_k, s_1), \dots, ((\delta_N)_k, s_N)$. ($\delta_\ell = (\mathcal{F} - \mathcal{F}') \circ \mathcal{J}(s_\ell)$)
- ③ Solve a linear system

$$(\delta_\ell)_k = \sum_{p \leq q} (s_\ell)_p (s_\ell)_q y_{pq} \quad (1 \leq \ell \leq N)$$

in $\{y_{pq}\}_{1 \leq p \leq q \leq n}$

(If $N \geq n(n+1)/2$, then a solution will be uniquely determined.)

- ④ Obtain $(t_{i1}, \dots, t_{in}), (t_{j1}, \dots, t_{jn})$ from $\{y_{pq}\}_{1 \leq p \leq q \leq n}$
- ① ~ ④ is iterated until a new fault is caused on \mathcal{J} .

Step2: Description

Assumption: α rows of \mathcal{T} are recovered in Step1.

- ① Transform \mathcal{T} into a special form
- ② Reduce the public key \mathcal{P} into a smaller system



It can be broken with **smaller complexity** than the original system.

Step2: Transformation of \mathcal{J}

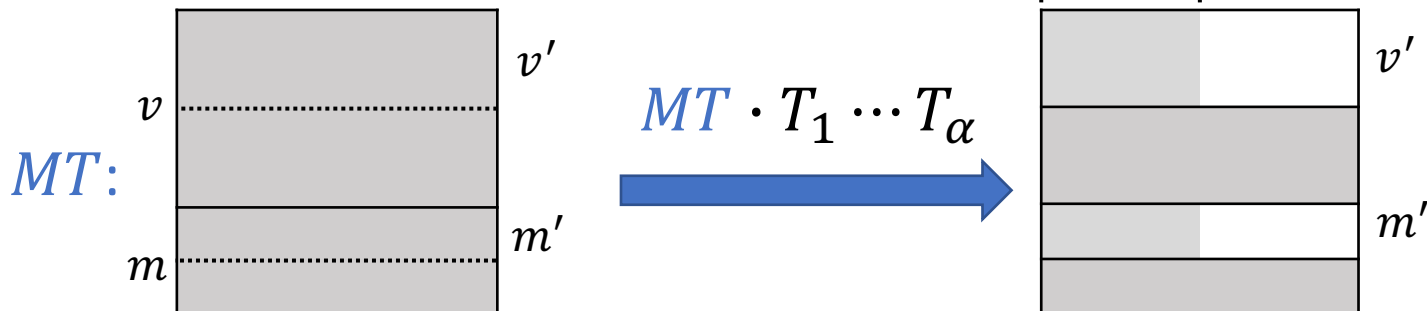
(t_{i1}, \dots, t_{in}) : the i -th row vector of MT recovered in Step1

$$T_1: \begin{bmatrix} 1 & -\frac{t_{i2}}{t_{i1}} & \dots & -\frac{t_{in}}{t_{i1}} \\ & 1 & & \\ & & \ddots & \\ & & & 1 \end{bmatrix} \quad \longrightarrow \quad MT \cdot T_1: \begin{bmatrix} t_{i1} & 0 & \dots & 0 \\ & & & \\ & & & \\ & & & \end{bmatrix}$$

$$\left(t_{i1} \cdot \left(-\frac{t_{ij}}{t_{i1}} \right) + t_{ij} = 0 \right)$$

Iterate for
the α recovered rows.

⋮



Step2: Reduction

$$T' := T_1 \cdots T_\alpha$$

$$p_i(T'(x_1, \dots, x_n)^\top)$$

MP_i

$$= (x_1 \cdots x_n) \cdot T'^\top \cdot \overbrace{MT^\top \cdot MF_i \cdot MT}^{MP_i} \cdot T' \cdot (x_1 \cdots x_n)^\top$$

$$= (x_1 \cdots x_n) \cdot \begin{matrix} (MT \cdot T')^\top \\ \begin{array}{|c|c|c|c|} \hline \text{shaded} & \text{shaded} & \text{shaded} & \text{shaded} \\ \hline \text{white} & \text{shaded} & \text{white} & \text{shaded} \\ \hline \end{array} \end{matrix} \cdot \begin{matrix} \begin{array}{|c|} \hline \text{shaded} \\ \hline \end{array} \\ F_i \\ \begin{array}{|c|} \hline \text{white} \\ \hline \end{array} \end{matrix} \cdot \begin{matrix} MT \cdot T' \\ \begin{array}{|c|c|} \hline \text{shaded} & \text{white} \\ \hline \text{shaded} & \text{shaded} \\ \hline \text{shaded} & \text{white} \\ \hline \text{shaded} & \text{shaded} \\ \hline \end{array} \end{matrix} \cdot \begin{pmatrix} x_1 \\ \vdots \\ x_n \end{pmatrix}$$

Substitute $(x_1, \dots, x_\alpha) = (0, \dots, 0)$

Step2: Reduction

$$p_i(T'(0, \dots, 0, x_{\alpha+1}, \dots, x_n)^T)$$

$$= (x_{\alpha+1} \cdots x_n) \begin{array}{|c|c|c|c|} \hline \text{white} & \text{gray} & \text{white} & \text{gray} \\ \hline \end{array} \begin{array}{|c|c|c|c|} \hline \text{gray} & \text{gray} & \text{gray} & \text{gray} \\ \hline \text{gray} & \text{red} & \text{gray} & \text{gray} \\ \hline \text{gray} & \text{gray} & \text{white} & \text{white} \\ \hline \text{gray} & \text{gray} & \text{white} & \text{white} \\ \hline \end{array} \begin{array}{|c|} \hline \text{white} \\ \hline \text{gray} \\ \hline \text{white} \\ \hline \text{gray} \\ \hline \end{array} \begin{pmatrix} x_{\alpha+1} \\ \vdots \\ x_n \end{pmatrix}$$

$$= (x_{\alpha+1} \cdots x_n) \begin{array}{|c|c|} \hline \text{gray} & \text{gray} \\ \hline \end{array} \begin{array}{|c|c|} \hline \text{gray} & \text{white} \\ \hline \end{array} \begin{array}{|c|} \hline \text{gray} \\ \hline \text{gray} \\ \hline \end{array} \begin{pmatrix} x_{\alpha+1} \\ \vdots \\ x_n \end{pmatrix}$$

$m - m'$

Reduction to the UOV public key in $n - \alpha$ variables
 ($v - v'$: vinegar variables, $m - m'$: oil variables)

Our Results

Existing key recovery attacks can be performed with smaller complexity on the resulting system.

Simulations for some parameters (100-bit security)

- The proposed attack can reduce the given system into one with only **90-bit** security with a probability of approximately **80 ~ 90%**.
- The proposed attack works even when the number of faults is limited.

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Conclusion

- We propose a new fault attack on UOV signature scheme.
- The proposed attack is the first attack on UOV utilizing faults caused on the secret key.
- A naive countermeasure against the proposed attack would be to check whether the secret key is faulty.