

# PQ.V.ALU.E: Post-Quantum RISC-V Custom ALU Extensions on Dilithium and Kyber

<u>Konstantina Miteloudi</u>, Joppe Bos, Olivier Bronchain, Björn Fay, Joost Renes CARDIS - November 16, 2023

#### Introduction

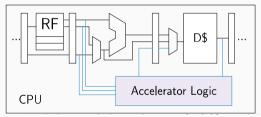
- Context
  - Quantum computing will threaten traditional Public Key Cryptography.
  - Shift to Post-Quantum cryptography.
  - NIST standarizes: CRYSTALS-Kyber (KEM) and CRYSTALS-Dilithium (Digital Signatures).
- Challenges in implementation
  - Resource-constrained devices:
    - ▶ IoT, sensors, healthcare, automotive processors.
    - ► Limited computational capabilities, energy resources, memory.

#### Contributions - PQ.V.ALU.E

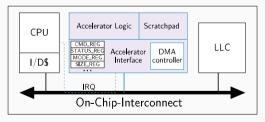
- ► Custom ALU
  - Lightweight ALU for NTT computations in Dilithium and Kyber.
  - Integrated into a 4-stage pipeline 32-bit RISC-V processor.
- ▶ ISA Extension
  - Ten new instructions for modular arithmetic and NTT butterfly operations.
- Efficiency
  - Over 80% reduction in cycle count compared to optimized assembly.
  - No decrease in specific microprocessor's operating frequencies.

#### Hardware accelerators

- ▶ Custom Extensions
  - Tailored instructions for specific applications.
- ▶ Need for Efficiency
  - HW/SW co-design strategies for performance.

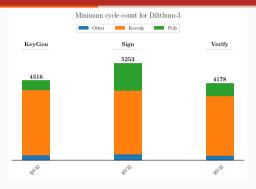


Integrated directly into the processor.(TCA)

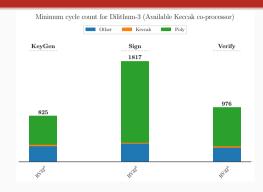


Added as peripherals to the processor.(LCA)

### **Dilithium Profiling**



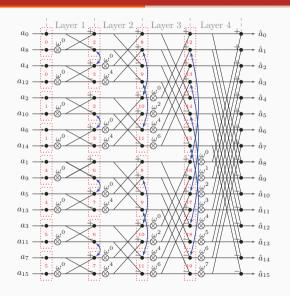
RISC-V assembly

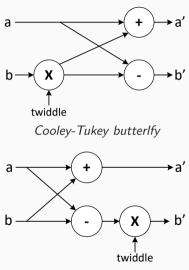


 ${\sf RISC\text{-}V} \ assembly \ + \ {\sf Keccak} \ {\sf co\text{-}processor}$ 

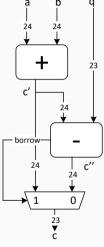
- ▶ Dominant factors
  - Keccak is a significant portion of the runtime.
  - Polynomial operations.

### Number-Theoretic Transform (NTT) and butterfly operations

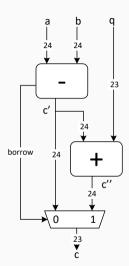




#### Modular addition and subtraction

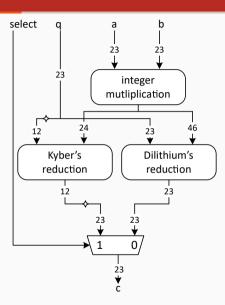


Modular Addition



Modular Substraction

# Modular multiplication



#### **Barrett reduction for Dilithium**

# **Algorithm 1** Barrett Reduction in Dilithium

**Input:**  $0 \le x < 8380417^2$ ,

**Output:**  $z = x \mod 8380417$ 

1: 
$$t \leftarrow (x \ll 23) + (x \ll 13) + (x \ll 3) - x$$

2:  $t \leftarrow t \gg 46$ 

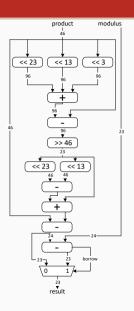
3: 
$$t \leftarrow (t \ll 23) - (t \ll 13) + t$$

 $4: z \leftarrow x - t$ 

5: **if**  $z \ge 8380417$  **then** 

6: 
$$z \leftarrow z - 8380417$$

7: return z



#### Barrett reduction for Kyber

# **Algorithm 2** Barrett Reduction in Kyber

**Input:**  $0 \le x < 3329^2$ ,

Output:  $z = x \mod 3329$ 

1:  $t \leftarrow 5039 \cdot x$ 

 $2: t \leftarrow t \gg 24$ 

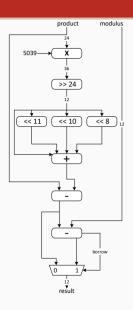
3:  $t \leftarrow (t \ll 11) + (t \ll 10) + (t \ll 8) + t$ 

 $4: z \leftarrow x - t$ 

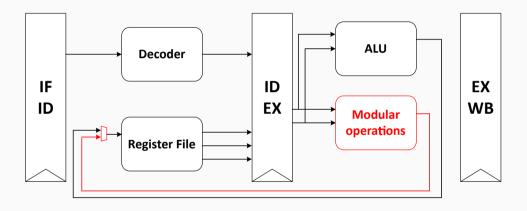
5: **if** z > 3329 **then** 

6:  $z \leftarrow z - 3329$ 

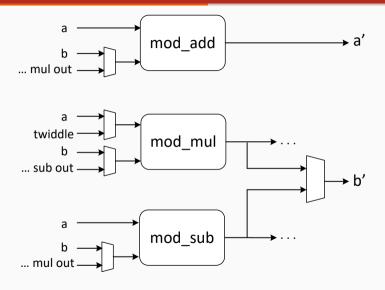
7: return z



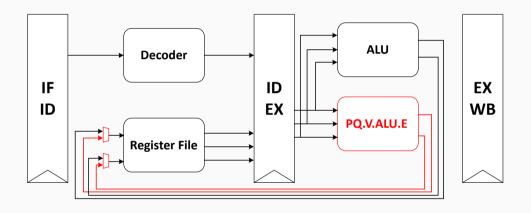
# **Modified datapath**



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# **Modified datapath**



#### **Custom instructions**

31	25	24 20	19	15	14 12	11 7	6 0	)
fun	ct7	rs2	rs:	L	funct3	rd	opcode	]

opcode	funct3	funct7	operation name
1110111	001	0000000	pq.mod_add_dil
1110111	010	0000000	$pq.mod\_sub\_dil$
1110111	011	0000000	$pq.mod\_mul\_dil$
1110111	100	0000000	pq.ct_btrfly_dil
1110111	101	0000000	$pq.gs\_btrfly\_dil$
1110111	001	0000001	pq.mod_add_kyb
1110111	010	0000001	$pq.mod\_sub\_kyb$
1110111	011	0000001	pq.mod_mul_kyb
1110111	100	0000001	$pq.ct\_btrfly\_kyb$
1110111	101	0000001	$pq.gs\_btrfly\_kyb$

# Butterfly with custom assembly (1/2)

```
.macro montgomery al, ah, qi, q
                                         .macro montgomery al, ah, qi, q
   mul \al, \a, \qi
                                             mul \al, \a, \qi
   mulh \al, \al, \q
                                             mulh \al, \al, \q
                                             sub \al, \ah, \al
    sub \al. \ah. \al
.endm
                                         .endm
.macro ct_butterfly a, b, qi, q, zeta,
                                         .macro gs_butterfly a, b, qi, q, zeta,
    tmp
                                              tmp
   mul \tmp. \zeta. \b
                                             sub \tmp, \a, \b
   mulh \b. \zeta. \b
                                             add \a, \a, \right
   montgomery \tmp, \b, \qi, \q
                                             mul \b, \zeta, \tmp
   sub \b, \a, \tmp
                                             mulh \tmp, \zeta, \tmp
    add \a, \a, \tmp
                                             montgomery \b, \tmp, \qi, \q
.endm
                                         .endm
```

(a) Cooley-Tukey, RV32

(b) Gentleman-Sande, RV32

### Butterfly with custom assembly (2/2)

```
.macro ct_butterfly a, b, z, tmp
   pq.mod_mul \tmp, \z, \b
   pq.mod_sub \b, \a, \tmp
   pq.mod_add \a, \a, \tmp
.endm
```

(c) Cooley-Tukey, PQVALUE<sup>1</sup>

```
.macro ct_butterfly a, b, zeta
   pq.ct_btrfly \a, \b, \zeta
.endm
```

(e) Cooley-Tukey, PQVALUE<sup>2</sup>

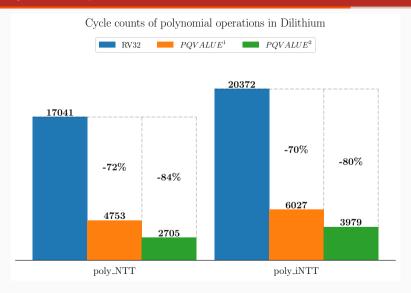
```
.macro gs_butterfly a, b, zeta, tmp
   pq.mod_sub \tmp, \a, \b
   pq.mod_add \a, \a, \b
   pq.mod_mul \b, \zeta, \tmp
.endm
```

(d) Gentleman-Sande, PQVALUE<sup>1</sup>

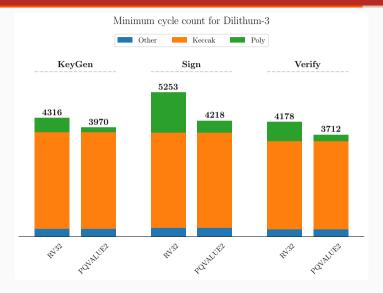
```
.macro gs_butterfly a, b, zeta, tmp
    pq.gs_btrfly \a, \b, \zeta
.endm
```

(f) Gentleman-Sande, PQVALUE<sup>2</sup>

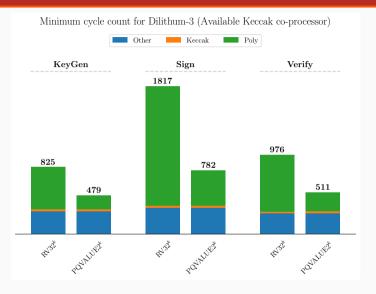
#### Cycles for polynomial operations



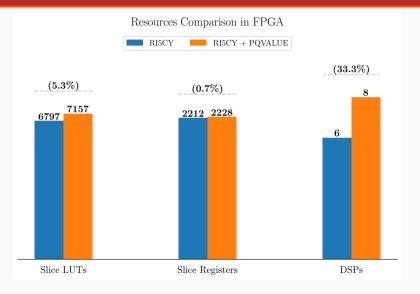
#### Cycles for Dilithium per phase



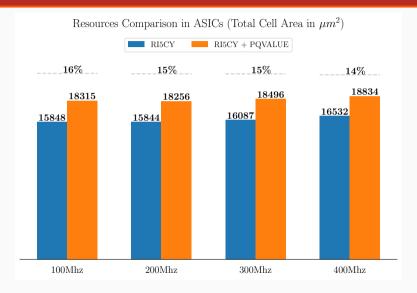
### Cycles for Dilithium with Keccak co-processor



#### **FPGA** Resources



#### **ASIC** resourses



#### Size and efficiency comparison of post-quantum ALUs

	Resources				Kyber perf.		
	LUT	Reg.	DSP	BRAM	Core	NTT	$NTT^{-1}$
PQR-ALU [8]	2 908	170	9	0	RI5CY	1 935	1 930
PQ ALU [17]	555	0	15	1	CVA6	18 448	18 448
PQVALUE <sup>2</sup>	459	0	2	0	RI5CY	2 577	3 851

<sup>[8]</sup> Fritzmann, T., Sigl, G., & Sepúlveda, J. (2020). RISQ-V: Tightly Coupled RISC-V Accelerators for Post-Quantum Cryptography. IACR Transactions on Cryptographic Hardware and Embedded Systems, 2020(4), 239–280.

[17] P. Nannipieri, S. Di Matteo, L. Zulberti, F. Albicocchi, S. Saponara and L. Fanucci (2021), "A RISC-V Post Quantum Cryptography Instruction Set Extension for Number Theoretic Transform to Speed-Up CRYSTALS Algorithms," in IEEE Access, vol. 9, pp. 150798-150808.

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