## CIEM1110-1: FEM, lecture 1.3

#### Derivation of finite element equations for elastostatics

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#### Deriving the finite element method









#### The basic ingredients for elastostatics

Equilibrium relation  $\nabla \cdot \boldsymbol{\sigma} + \mathbf{b} = \mathbf{0}$ 

$$
\boldsymbol{\sigma} + \mathbf{b} = 0
$$

$$
\frac{\partial \sigma_{xx}}{\partial x} + \frac{\partial \sigma_{xy}}{\partial y} + \frac{\partial \sigma_{xz}}{\partial z} = -b_x \quad \text{etc}
$$

Constitutive relation  $\sigma = \mathcal{D} : \varepsilon$ 

$$
\sigma_{xx} = \frac{E}{(1+\nu)(1-2\nu)}\left((1-\nu)\varepsilon_{xx} + \nu\varepsilon_{yy} + \nu\varepsilon_{zz}\right) \text{ etc}
$$

Kinematic relation  $\varepsilon = \nabla^s \mathbf{u}$ 

$$
\varepsilon_{xy} = \frac{1}{2} \left( \frac{\partial u_x}{\partial y} + \frac{\partial u_y}{\partial x} \right) \quad \text{etc}
$$

Geometry and BCs





#### Starting point is the equilibrium equation

The partial differential equation (PDE) we want to solve

 $\nabla \cdot \boldsymbol{\sigma} + \mathbf{b} = \mathbf{0}$ 

- $\bullet$   $\sigma$  and b are a function of coordinates x
- $\bullet$  this equation must hold at every point  $\mathbf x$



#### Starting point is the equilibrium equation

The partial differential equation (PDE) we want to solve

 $\nabla \cdot \boldsymbol{\sigma} + \mathbf{b} = \mathbf{0}$  (or  $\nabla \cdot \boldsymbol{\mathcal{D}} : \nabla_{\mathrm{s}} \mathbf{u} + \mathbf{b} = \mathbf{0}$ )

- $\sigma$  and b are a function of coordinates x
- $\bullet$  this equation must hold at every point  $x$
- in 1D this is equivalent to the Poisson equation
- in 2D or 3D, the unknown field u is a vector, different from the Poisson equation



#### We rewrite the equation in weighted residual form

Premultiply with w and integrate over domain:

$$
\nabla \cdot \boldsymbol{\sigma} + \mathbf{b} = \mathbf{0} \quad \Rightarrow \quad \int_{\Omega} \mathbf{w} \cdot (\nabla \cdot \boldsymbol{\sigma} + \mathbf{b}) \, d\Omega = 0, \quad \forall \mathbf{w}
$$

- $\bullet$   $\mathbf{w}(\mathbf{x})$  is a (yet unspecified) weight function
- $\Omega$  is the domain over which we solve the equation
- just like u, the weight function w is a vector field
- if this holds for all possible w (i.e.  $\forall$  w), the two expressions are equivalent



#### Now we apply divergence theorem (or Gauss' theorem)

To get rid of second order derivative of u that is hidden in the term  $\nabla \cdot \boldsymbol{\sigma}$ :

$$
\int_{\Omega} \mathbf{w} \cdot (\nabla \cdot \boldsymbol{\sigma} + \mathbf{b}) \, d\Omega = 0 \quad \Rightarrow \quad -\int_{\Omega} \nabla^{s} \mathbf{w} : \boldsymbol{\sigma} \, d\Omega + \int_{\Omega} \mathbf{w} \cdot \mathbf{b} \, d\Omega + \int_{\Gamma_{N}} \mathbf{w} \cdot \mathbf{t} \, d\Gamma = 0
$$

- $\Gamma_N$  is the boundary along which an external traction is applied
- $\bullet$  t is that applied traction
- $\nabla^{\rm s} \mathbf{w} = \frac{1}{2}$  $\frac{1}{2}(\nabla \mathbf{w} + (\nabla \mathbf{w})^T)$
- actually divergence theorem gives  $\nabla$ w instead of  $\nabla^s$ w
- the symmetric gradient can be used because of symmetry of  $\sigma$



#### Substitution of constitutive and kinematic relation (linear elasticity)

Substitution of  $\boldsymbol{\sigma} = \boldsymbol{\mathcal{D}} : \nabla^s \mathbf{u}$  gives

$$
-\int_{\Omega} \nabla^{s} \mathbf{w} : \boldsymbol{\sigma} d\Omega + \int_{\Omega} \mathbf{w} \cdot \mathbf{b} d\Omega + \int_{\Gamma_{N}} \mathbf{w} \cdot \mathbf{t} d\Gamma = 0, \quad \forall \mathbf{w}
$$

$$
\Rightarrow -\int_{\Omega} \nabla^{s} \mathbf{w} : \boldsymbol{\mathcal{D}} : \nabla^{s} \mathbf{u} d\Omega + \int_{\Omega} \mathbf{w} \cdot \mathbf{b} d\Omega + \int_{\Gamma_{N}} \mathbf{w} \cdot \mathbf{t} d\Gamma = 0, \quad \forall \mathbf{w}
$$

- $\bullet$   $\mathbf{u}(\mathbf{x})$  is the displacement field
- the following strain definition is used  $\varepsilon = \nabla^s \mathbf{u}$  or  $\varepsilon_{ij} = \frac{1}{2}$  $\frac{1}{2}(u_{i,j}+u_{j,i})$
- and the following constitutive relation  $\sigma = \mathcal{D} : \varepsilon$
- where  $\mathcal D$  is a fourth order tensor



#### This is our weak form, before any discretization

Find the displacement field  $\mathbf{u} \in \mathcal{S}$  that satisfies

$$
-\int_\Omega \nabla^s{\bf w}:\!{\boldsymbol{\mathcal{D}}}: \!{\nabla}^s{\bf u}\, \mathrm{d}\Omega+\int_\Omega {\bf w}\cdot{\bf b}\, \mathrm{d}\Omega+\int_{\Gamma_N}{\bf w}\cdot{\bf t}\, \mathrm{d}\Gamma=0,\quad \forall {\bf w}\in\mathcal{V}
$$

where

- $\bullet$   $\mathbf{u}(\mathbf{x})$  is the displacement field
- $S$  is the set of functions to which u must belong
- $w(x)$  is the weight function
- $V$  is the set of functions to which w must belong
- we have ignored displacement boundary conditions

The same could be obtained directly from virtual work or energy minimization However, the mathematical procedure presented here also works for other PDEs



#### Now we approximate both u and w

Find the displacement field  $\mathbf{u}^h \in \mathcal{S}^h$  that satisfies

$$
-\int_\Omega \nabla^{\mathbf s} \mathbf w^h:\boldsymbol{\mathcal D}:\nabla^{\mathbf s}\mathbf u^h\,\mathrm{d}\Omega+\int_\Omega \mathbf w^h\cdot \mathbf b\,\mathrm{d}\Omega+\int_{\Gamma_N} \mathbf w^h\cdot \mathbf t\,\mathrm{d}\Gamma=0,\quad \forall \mathbf w^h\in\mathcal V^h
$$

where

- $\bullet$  ) the infinite set  $\mathcal S$  has been reduced to finite set  $\mathcal S^h$
- $\bullet\quad$  the infinite set  ${\mathcal V}$  has been reduced to finite set  ${\mathcal V}^h$

This abstract operation limits the number of degrees of freedom (in  $\mathcal{S}^{h})$ and the number of equations (in  $\mathcal{V}^h)$ 



### We introduce discretization for  $\mathbf{u}^h$  and  $\mathbf{w}^h$

Find the displacement field  $\mathbf{u}^h \in \mathcal{S}^h$  that satisfies

$$
-\int_\Omega \nabla^{\mathbf s} \mathbf w^h : \boldsymbol{\mathcal{D}} : \nabla^{\mathbf s} \mathbf u^h \,\mathrm{d}\Omega + \int_\Omega \mathbf w^h \cdot \mathbf b \,\mathrm{d}\Omega + \int_{\Gamma_N} \mathbf w^h \cdot \mathbf t \,\mathrm{d}\Gamma = 0, \quad \forall \mathbf w^h \in \mathcal V^h
$$

given that

$$
\mathbf{u}^{h}(\mathbf{x}) = \sum_{i=1}^{n} N_i(\mathbf{x}) \mathbf{a}_i, \qquad \mathbf{w}^{h}(\mathbf{x}) = \sum_{i=1}^{n} N_i(\mathbf{x}) \mathbf{c}_i,
$$

- $N_i$  is the shape function associated with node  $i$
- $a_i$  is the nodal displacement vector of node  $i$
- $\bullet$   $\mathbf{c}_i$  is like an amplitude of the weight function (it will drop out)



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Here the mesh is introduced, shape functions are defined with nodes and elements





#### We prepare to rewrite the discretized weak form in matrix form

We will substitute

$$
\mathbf{u}^{h} = \mathbf{N}\mathbf{a}, \qquad \mathbf{w}^{h} = \mathbf{N}\mathbf{c}
$$
\nwith (in 2D)\n
$$
\mathbf{N} = \begin{bmatrix}\nN_{1} & 0 & N_{2} & 0 & \cdots & N_{n} & 0 \\
0 & N_{1} & 0 & N_{2} & \cdots & 0 & N_{n}\n\end{bmatrix} \qquad \mathbf{a} = \begin{Bmatrix}\n\mathbf{a} \\
\mathbf{a} \\
\mathbf{b} \\
\mathbf{b}\n\end{Bmatrix}
$$

$$
\mathbf{a} = \begin{pmatrix} a_{1x} \\ a_{1y} \\ a_{2x} \\ a_{2y} \\ \vdots \\ a_{nx} \\ a_{ny} \end{pmatrix}
$$

 $a_{1x}$ 

and

with

$$
\mathbf{B} = \begin{bmatrix} N_{1,x} & 0 & N_{2,x} & 0 & \cdots & N_{n,x} & 0 \\ 0 & N_{1,y} & 0 & N_{2,y} & \cdots & 0 & N_{n,y} \\ N_{1,y} & N_{1,x} & N_{2,y} & N_{2,x} & \cdots & N_{n,y} & N_{n,x} \end{bmatrix}
$$

Here a and c are vectors containing values for all nodes  $\nabla^s\mathbf{u}^h$  is a 2<sup>nd</sup> order **tensor**,  $\boldsymbol{\varepsilon}^h = \mathbf{B}\mathbf{a}$  the engineering strain **vector** .<br>,

 $\nabla^s {\bf u}^h \simeq {\boldsymbol{\varepsilon}}^h = {\bf Ba}, \qquad \nabla^s {\bf w}^h \simeq {\bf B}{\bf c}$ 

# elft

#### After substitution of these quantities we rewrite the weak form

Find the displacement field  $\mathbf{u}^h \in \mathcal{S}^h$  that satisfies

$$
\int_{\Omega} \nabla^s \mathbf{w}^h : \mathbf{\mathcal{D}} : \nabla^s \mathbf{u}^h d\Omega = \int_{\Omega} \mathbf{w}^h \cdot \mathbf{b} d\Omega + \int_{\Gamma_N} \mathbf{w}^h \cdot \mathbf{t} d\Gamma, \quad \forall \mathbf{w}^h \in \mathcal{V}^h
$$

$$
\Downarrow
$$

Find the nodal displacements a that satisfy

$$
\int_{\Omega} (\mathbf{B}\mathbf{c})^T \mathbf{D} \mathbf{B} \mathbf{a} d\Omega = \int_{\Omega} (\mathbf{N}\mathbf{c})^T \mathbf{b} d\Omega + \int_{\Gamma_N} (\mathbf{N}\mathbf{c})^T \mathbf{t} d\Gamma, \quad \forall \mathbf{c}
$$

- we started using Voigt notation:  $\sigma$  and  $\varepsilon$  are now vectors
- D is the material stiffness matrix  $\sigma = D\varepsilon$
- we needed tensor notation for writing the strong form and for divergence theorem
- now the engineering notation becomes more convenient



#### Amplitudes a and c can be taken out of the integrals

Find the nodal displacements a that satisfy

$$
\int_{\Omega} (\mathbf{B} \mathbf{c})^T \mathbf{D} \mathbf{B} \mathbf{a} d\Omega = \int_{\Omega} (\mathbf{N} \mathbf{c})^T \mathbf{b} d\Omega + \int_{\Gamma_N} (\mathbf{N} \mathbf{c})^T \mathbf{t} d\Gamma, \quad \forall \mathbf{c}
$$

Find the nodal displacements a that satisfy

$$
\mathbf{c}^T \int_{\Omega} \mathbf{B}^T \mathbf{D} \mathbf{B} d\Omega \mathbf{a} = \mathbf{c}^T \int_{\Omega} \mathbf{N}^T \mathbf{b} d\Omega + \mathbf{c}^T \int_{\Gamma_N} \mathbf{N}^T \mathbf{t} d\Gamma, \quad \forall \mathbf{c}
$$

c cancels out and we can write this as a system of equations



#### Finally, the system of equations looks like

Find the nodal displacements a that satisfy

$$
\mathbf{c}^T \int_{\Omega} \mathbf{B}^T \mathbf{D} \mathbf{B} \, d\Omega \mathbf{a} = \mathbf{c}^T \int_{\Omega} \mathbf{N}^T \mathbf{b} \, d\Omega + \mathbf{c}^T \int_{\Gamma_N} \mathbf{N}^T \mathbf{t} \, d\Gamma, \quad \forall \mathbf{c}
$$

Find a such that:

 $Ka = f$ 

with

$$
\mathbf{K} = \int_{\Omega} \mathbf{B}^T \mathbf{D} \mathbf{B} \, d\Omega, \qquad \mathbf{f} = \int_{\Omega} \mathbf{N}^T \mathbf{b} \, d\Omega + \int_{\Gamma_N} \mathbf{N}^T \mathbf{t} \, d\Gamma
$$

- evaluation of integrals needs to be worked out
- we still need to account for displacement boundary conditions



#### We evaluate  $K$  and  $f$  element by element

For the stiffness matrix:

$$
\mathbf{K} = \int_{\Omega} \mathbf{B}^T \mathbf{D} \mathbf{B} \, d\Omega = \sum_{ie=1}^{ne} \int_{\Omega^e} \mathbf{B}^T \mathbf{D} \mathbf{B} \, d\Omega = \bigwedge_{ie=1}^{ne} \int_{\Omega^e} \mathbf{B}_e^T \mathbf{D} \mathbf{B}_e \, d\Omega
$$

where

- $\Omega_e$  is the domain of the element
- $\bullet$   $\mathbf{B}_e$  contains only the part of  $\mathbf{B}$  that is nonzero in the element
- A takes care of putting the element matrix in the right global position

Similarly, for the force vector:

$$
\mathbf{f} = \bigoplus_{i=e=1}^{ne} \left( \int_{\Omega_e} \mathbf{N}_e^T \mathbf{b} \, d\Omega + \int_{\Gamma_{N,e}} \mathbf{N}_e^T \mathbf{t} \, d\Gamma \right)
$$

- $\Gamma_{N,e}$  is the part of the element boundary where external tractions are applied
- $\Gamma_{N,e}$  is empty for most elements

#### Isoparametric mapping is used for integration over elements

A mapping from local to global coordinates is introduced:

 $x(\xi, \eta) = N_i(\xi, \eta)x_i, \qquad y = N(\xi, \eta)_i y_i$ 

where  $\xi$  and  $\eta$  are the natural coordinates of the reference element

For a 2D quadrilateral element integration is then performed as:

$$
\int_{\Omega^e} \mathbf{B}^T \mathbf{D} \mathbf{B} \, d\Omega = \int_{-1}^1 \int_{-1}^1 \mathbf{B}^T \mathbf{D} \mathbf{B} j \, d\xi \, d\eta
$$

where  $j$  is the determinant of the Jacobian matrix J:

$$
\mathbf{J} = \begin{bmatrix} x_{,\xi} & y_{,\xi} \\ x_{,\eta} & y_{,\eta} \end{bmatrix} = \begin{bmatrix} N_{i,\xi} x_i & N_{i,\xi} y_i \\ N_{i,\eta} x_i & N_{i,\eta} y_i \end{bmatrix}
$$

The Jacobian matrix is also used to evaluate shape function gradients in B:

$$
\begin{Bmatrix} N_{i,x} \\ N_{i,y} \end{Bmatrix} = \mathbf{J}^{-1} \begin{Bmatrix} N_{i,\xi} \\ N_{i,\eta} \end{Bmatrix}
$$
  
**W**



#### The integrals are evaluated with numerical integration

A finite set of integration point is selected:

$$
\int_{-1}^{1} \int_{-1}^{1} \mathbf{B}^{T} \mathbf{D} \mathbf{B} j \, d\xi \, d\eta \approx \sum_{ip=1}^{np} \mathbf{B}^{T} \mathbf{D} \mathbf{B} j w_{ip}
$$

where

- B and j are evaluated at the integration point  $(\xi_{ip}, \eta_{ip})$
- $\bullet$   $w_{ip}$  is the integration point weight
- $\bullet$  *jw*<sub>ip</sub> is a measure for the area associated with the integration point

Similarly, for the force vector:

$$
\int_{-1}^{1} \int_{-1}^{1} \mathbf{N}^{T} \mathbf{b} j \, \mathrm{d} \eta \, \mathrm{d} \xi \approx \sum_{ip=1}^{np} \mathbf{N}^{T} \mathbf{b} j w_{ip}
$$



#### With all this, the PDE is approximated as a system of equations

Strong form	Weak form	
$\nabla \cdot \boldsymbol{\sigma} + \mathbf{b} = 0$	$-\int_{\Omega} \nabla^s \mathbf{w} : \mathcal{D} : \nabla^s u \, d\Omega + \int_{\Omega} \mathbf{w} \cdot \mathbf{b} \, d\Omega + \int_{\Gamma_N} \mathbf{w} \cdot \mathbf{t} \, d\Gamma = 0$	<b>Ka</b> = <b>f</b>

where

• a is the vector with nodal displacements,  $K$  the stiffness matrix and  $f$  the force vector

$$
\mathbf{K} = \int \mathbf{B}^T \mathbf{D} \mathbf{B} \, d\Omega, \qquad \mathbf{f} = \int \mathbf{N}^T \mathbf{b} \, d\Omega + \int \mathbf{N}^T \mathbf{t} \, d\Gamma
$$



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where

- a is the vector with nodal displacements,  $K$  the stiffness matrix and  $f$  the force vector
- displacement BCs are treated by eliminating prescribed values for a
- once a is known, stress and strain fields can be computed





2

 $\overline{0}$ 

 $-2$ 

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