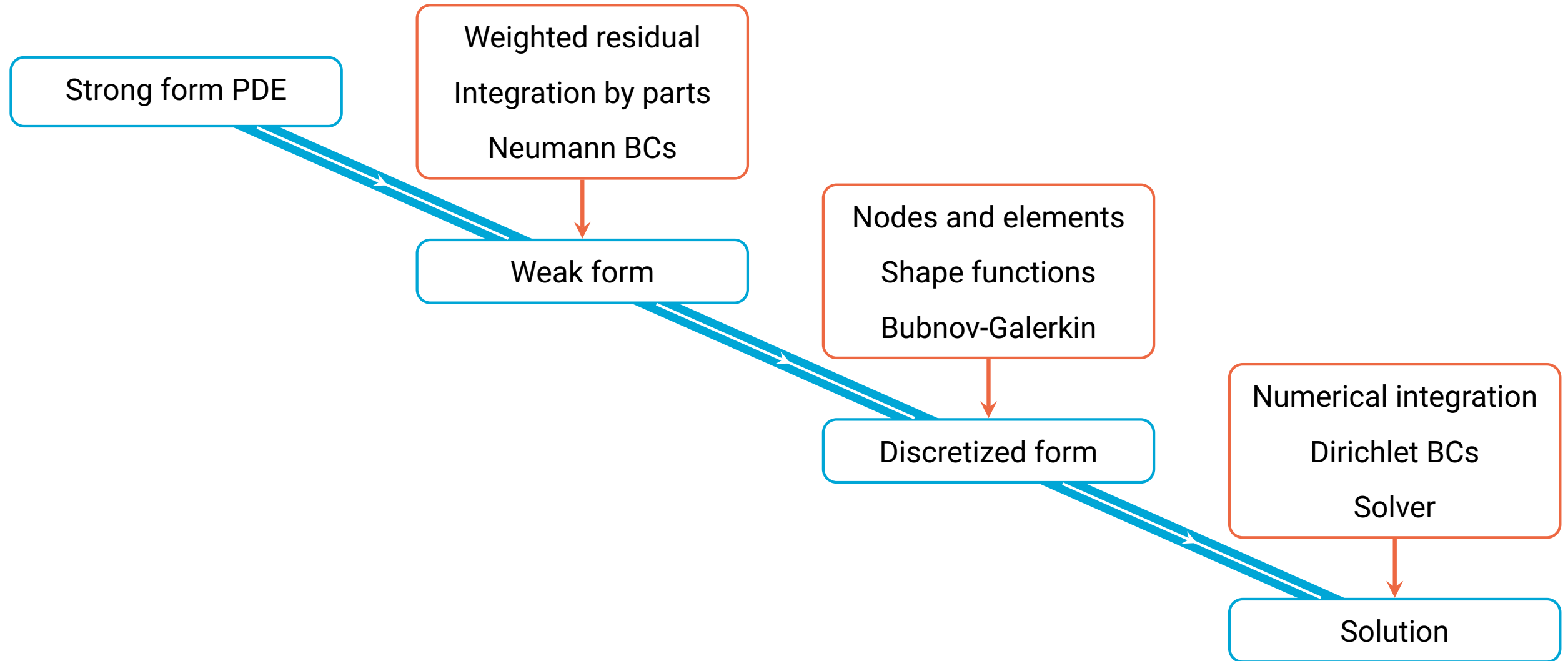


## CIEM5110-2: FEM, lecture 1.2

### Derivation of finite element equations for elastostatics

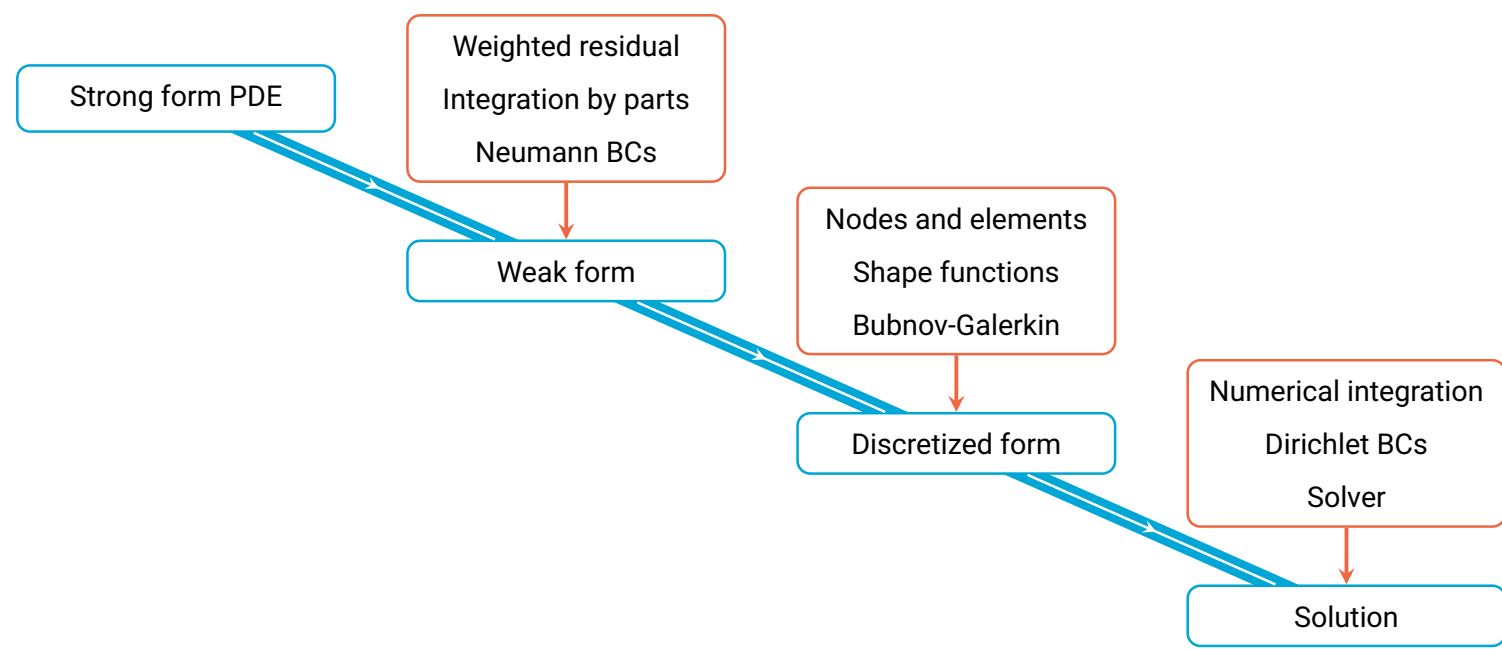
Frans van der Meer

## Deriving the finite element method



# Discussion

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## The basic ingredients for elastostatics

Equilibrium relation  $\nabla \cdot \boldsymbol{\sigma} + \mathbf{b} = \mathbf{0}$

$$\frac{\partial \sigma_{xx}}{\partial x} + \frac{\partial \sigma_{xy}}{\partial y} + \frac{\partial \sigma_{xz}}{\partial z} = -b_x \quad \text{etc}$$

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Constitutive relation  $\boldsymbol{\sigma} = \mathcal{D} : \boldsymbol{\varepsilon}$

$$\sigma_{xx} = \frac{E}{(1 + \nu)(1 - 2\nu)} ((1 - \nu)\varepsilon_{xx} + \nu\varepsilon_{yy} + \nu\varepsilon_{zz}) \quad \text{etc}$$

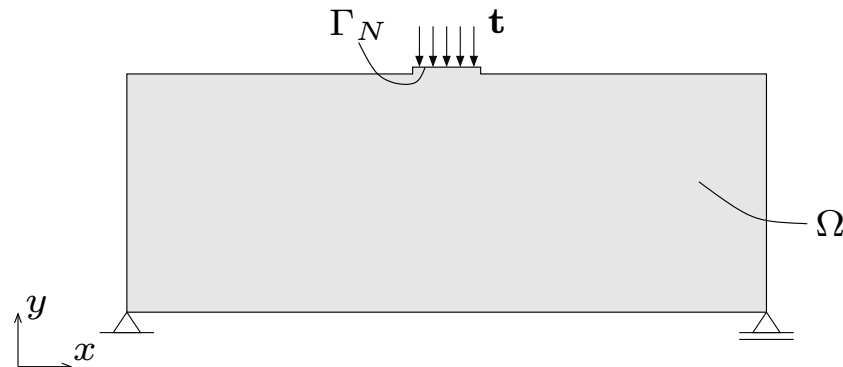
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Kinematic relation  $\boldsymbol{\varepsilon} = \nabla^s \mathbf{u}$

$$\varepsilon_{xy} = \frac{1}{2} \left( \frac{\partial u_x}{\partial y} + \frac{\partial u_y}{\partial x} \right) \quad \text{etc}$$

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Geometry and BCs



## Starting point is the equilibrium equation

The partial differential equation (PDE) we want to solve

$$\nabla \cdot \boldsymbol{\sigma} + \mathbf{b} = \mathbf{0}$$

where

- $\boldsymbol{\sigma}$  and  $\mathbf{b}$  are a function of coordinates  $\mathbf{x}$
- this equation must hold at every point  $\mathbf{x}$

## Starting point is the equilibrium equation

The partial differential equation (PDE) we want to solve

$$\nabla \cdot \boldsymbol{\sigma} + \mathbf{b} = \mathbf{0} \quad (\text{or} \quad \nabla \cdot \boldsymbol{\mathcal{D}} : \nabla_{\mathbf{s}} \mathbf{u} + \mathbf{b} = \mathbf{0})$$

where

- $\boldsymbol{\sigma}$  and  $\mathbf{b}$  are a function of coordinates  $\mathbf{x}$
- this equation must hold at every point  $\mathbf{x}$
- in 1D this is equivalent to the Poisson equation
- in 2D or 3D, the unknown field  $\mathbf{u}$  is a vector, different from the Poisson equation

## We rewrite the equation in weighted residual form

Premultiply with  $\mathbf{w}$  and integrate over domain:

$$\nabla \cdot \boldsymbol{\sigma} + \mathbf{b} = \mathbf{0} \quad \Rightarrow \quad \int_{\Omega} \mathbf{w} \cdot (\nabla \cdot \boldsymbol{\sigma} + \mathbf{b}) \, d\Omega = 0, \quad \forall \mathbf{w}$$

where

- $\mathbf{w}(\mathbf{x})$  is a (yet unspecified) weight function
- $\Omega$  is the domain over which we solve the equation
- just like  $\mathbf{u}$ , the weight function  $\mathbf{w}$  is a vector field
- if this holds for all possible  $\mathbf{w}$  (i.e.  $\forall \mathbf{w}$ ), the two expressions are equivalent

## Now we apply divergence theorem (or Gauss' theorem)

To get rid of second order derivative of  $\mathbf{u}$  that is hidden in the term  $\nabla \cdot \boldsymbol{\sigma}$ :

$$\int_{\Omega} \mathbf{w} \cdot (\nabla \cdot \boldsymbol{\sigma} + \mathbf{b}) \, d\Omega = 0 \quad \Rightarrow \quad - \int_{\Omega} \nabla^s \mathbf{w} : \boldsymbol{\sigma} \, d\Omega + \int_{\Omega} \mathbf{w} \cdot \mathbf{b} \, d\Omega + \int_{\Gamma_N} \mathbf{w} \cdot \mathbf{t} \, d\Gamma = 0$$

where

- $\Gamma_N$  is the boundary along which an external traction is applied
- $\mathbf{t}$  is that applied traction
- $\nabla^s \mathbf{w} = \frac{1}{2} (\nabla \mathbf{w} + (\nabla \mathbf{w})^T)$
- actually divergence theorem gives  $\nabla \mathbf{w}$  instead of  $\nabla^s \mathbf{w}$
- the symmetric gradient can be used because of symmetry of  $\boldsymbol{\sigma}$



## Substitution of constitutive and kinematic relation (linear elasticity)

Substitution of  $\boldsymbol{\sigma} = \boldsymbol{\mathcal{D}} : \nabla^s \mathbf{u}$  gives

$$\begin{aligned} - \int_{\Omega} \nabla^s \mathbf{w} : \boldsymbol{\sigma} \, d\Omega + \int_{\Omega} \mathbf{w} \cdot \mathbf{b} \, d\Omega + \int_{\Gamma_N} \mathbf{w} \cdot \mathbf{t} \, d\Gamma &= 0, \quad \forall \mathbf{w} \\ \Rightarrow - \int_{\Omega} \nabla^s \mathbf{w} : \boldsymbol{\mathcal{D}} : \nabla^s \mathbf{u} \, d\Omega + \int_{\Omega} \mathbf{w} \cdot \mathbf{b} \, d\Omega + \int_{\Gamma_N} \mathbf{w} \cdot \mathbf{t} \, d\Gamma &= 0, \quad \forall \mathbf{w} \end{aligned}$$

where

- $\mathbf{u}(\mathbf{x})$  is the displacement field
- the following strain definition is used  $\boldsymbol{\varepsilon} = \nabla^s \mathbf{u}$  or  $\varepsilon_{ij} = \frac{1}{2}(u_{i,j} + u_{j,i})$
- and the following constitutive relation  $\boldsymbol{\sigma} = \boldsymbol{\mathcal{D}} : \boldsymbol{\varepsilon}$
- where  $\boldsymbol{\mathcal{D}}$  is a fourth order tensor

## This is our weak form, before any discretization

Find the displacement field  $\mathbf{u} \in \mathcal{S}$  that satisfies

$$-\int_{\Omega} \nabla^s \mathbf{w} : \mathcal{D} : \nabla^s \mathbf{u} \, d\Omega + \int_{\Omega} \mathbf{w} \cdot \mathbf{b} \, d\Omega + \int_{\Gamma_N} \mathbf{w} \cdot \mathbf{t} \, d\Gamma = 0, \quad \forall \mathbf{w} \in \mathcal{V}$$

where

- $\mathbf{u}(\mathbf{x})$  is the displacement field
- $\mathcal{S}$  is the set of functions to which  $\mathbf{u}$  must belong
- $\mathbf{w}(\mathbf{x})$  is the weight function
- $\mathcal{V}$  is the set of functions to which  $\mathbf{w}$  must belong
- we have ignored displacement boundary conditions

The same could be obtained directly from virtual work or energy minimization

However, the mathematical procedure presented here also works for other PDEs

## Now we approximate both $\mathbf{u}$ and $\mathbf{w}$

Find the displacement field  $\mathbf{u}^h \in \mathcal{S}^h$  that satisfies

$$-\int_{\Omega} \nabla^s \mathbf{w}^h : \mathcal{D} : \nabla^s \mathbf{u}^h \, d\Omega + \int_{\Omega} \mathbf{w}^h \cdot \mathbf{b} \, d\Omega + \int_{\Gamma_N} \mathbf{w}^h \cdot \mathbf{t} \, d\Gamma = 0, \quad \forall \mathbf{w}^h \in \mathcal{V}^h$$

where

- the infinite set  $\mathcal{S}$  has been reduced to finite set  $\mathcal{S}^h$
- the infinite set  $\mathcal{V}$  has been reduced to finite set  $\mathcal{V}^h$

This abstract operation limits the number of degrees of freedom (in  $\mathcal{S}^h$ )  
and the number of equations (in  $\mathcal{V}^h$ )

## We introduce discretization for $\mathbf{u}^h$ and $\mathbf{w}^h$

Find the displacement field  $\mathbf{u}^h \in \mathcal{S}^h$  that satisfies

$$-\int_{\Omega} \nabla^s \mathbf{w}^h : \mathcal{D} : \nabla^s \mathbf{u}^h \, d\Omega + \int_{\Omega} \mathbf{w}^h \cdot \mathbf{b} \, d\Omega + \int_{\Gamma_N} \mathbf{w}^h \cdot \mathbf{t} \, d\Gamma = 0, \quad \forall \mathbf{w}^h \in \mathcal{V}^h$$

given that

$$\mathbf{u}^h(\mathbf{x}) = \sum_{i=1}^n N_i(\mathbf{x}) \mathbf{a}_i, \quad \mathbf{w}^h(\mathbf{x}) = \sum_{i=1}^n N_i(\mathbf{x}) \mathbf{c}_i,$$

where

- $N_i$  is the shape function associated with node  $i$
- $\mathbf{a}_i$  is the nodal displacement vector of node  $i$
- $\mathbf{c}_i$  is like an amplitude of the weight function (it will drop out)

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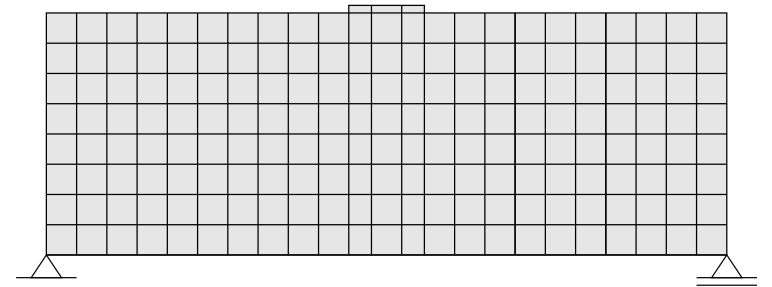
given that

$$\mathbf{u}^h(\mathbf{x}) = \sum_{i=1}^n N_i(\mathbf{x}) \mathbf{a}_i, \quad \mathbf{w}^h(\mathbf{x}) = \sum_{i=1}^n N_i(\mathbf{x}) \mathbf{c}_i,$$

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Here the mesh is introduced, shape functions are defined with nodes and elements



## We prepare to rewrite the discretized weak form in matrix form

We will substitute

$$\mathbf{u}^h = \mathbf{N}\mathbf{a}, \quad \mathbf{w}^h = \mathbf{N}\mathbf{c}$$

with (in 2D)

$$\mathbf{N} = \begin{bmatrix} N_1 & 0 & N_2 & 0 & \cdots & N_n & 0 \\ 0 & N_1 & 0 & N_2 & \cdots & 0 & N_n \end{bmatrix}$$

$$\mathbf{a} = \begin{Bmatrix} a_{1x} \\ a_{1y} \\ a_{2x} \\ a_{2y} \\ \vdots \\ a_{nx} \\ a_{ny} \end{Bmatrix}$$

and

$$\nabla^s \mathbf{u}^h \cong \boldsymbol{\varepsilon}^h = \mathbf{B}\mathbf{a}, \quad \nabla^s \mathbf{w}^h \cong \mathbf{B}\mathbf{c}$$

with

$$\mathbf{B} = \begin{bmatrix} N_{1,x} & 0 & N_{2,x} & 0 & \cdots & N_{n,x} & 0 \\ 0 & N_{1,y} & 0 & N_{2,y} & \cdots & 0 & N_{n,y} \\ N_{1,y} & N_{1,x} & N_{2,y} & N_{2,x} & \cdots & N_{n,y} & N_{n,x} \end{bmatrix}$$

Here  $\mathbf{a}$  and  $\mathbf{c}$  are vectors containing values for all nodes

$\nabla^s \mathbf{u}^h$  is a 2<sup>nd</sup> order **tensor**,  $\boldsymbol{\varepsilon}^h = \mathbf{B}\mathbf{a}$  the engineering strain **vector**

## After substitution of these quantities we rewrite the weak form

Find the displacement field  $\mathbf{u}^h \in \mathcal{S}^h$  that satisfies

$$\int_{\Omega} \nabla^s \mathbf{w}^h : \mathbf{D} : \nabla^s \mathbf{u}^h \, d\Omega = \int_{\Omega} \mathbf{w}^h \cdot \mathbf{b} \, d\Omega + \int_{\Gamma_N} \mathbf{w}^h \cdot \mathbf{t} \, d\Gamma, \quad \forall \mathbf{w}^h \in \mathcal{V}^h$$

⇓

Find the nodal displacements  $\mathbf{a}$  that satisfy

$$\int_{\Omega} (\mathbf{B}\mathbf{c})^T \mathbf{D}\mathbf{B}\mathbf{a} \, d\Omega = \int_{\Omega} (\mathbf{N}\mathbf{c})^T \mathbf{b} \, d\Omega + \int_{\Gamma_N} (\mathbf{N}\mathbf{c})^T \mathbf{t} \, d\Gamma, \quad \forall \mathbf{c}$$

where

- we started using Voigt notation:  $\boldsymbol{\sigma}$  and  $\boldsymbol{\varepsilon}$  are now vectors
- $\mathbf{D}$  is the material stiffness matrix  $\boldsymbol{\sigma} = \mathbf{D}\boldsymbol{\varepsilon}$
- we needed tensor notation for writing the strong form and for divergence theorem
- now the engineering notation becomes more convenient

## Amplitudes $\mathbf{a}$ and $\mathbf{c}$ can be taken out of the integrals

Find the nodal displacements  $\mathbf{a}$  that satisfy

$$\int_{\Omega} (\mathbf{B}\mathbf{c})^T \mathbf{D}\mathbf{B}\mathbf{a} \, d\Omega = \int_{\Omega} (\mathbf{N}\mathbf{c})^T \mathbf{b} \, d\Omega + \int_{\Gamma_N} (\mathbf{N}\mathbf{c})^T \mathbf{t} \, d\Gamma, \quad \forall \mathbf{c}$$

⇓

Find the nodal displacements  $\mathbf{a}$  that satisfy

$$\mathbf{c}^T \int_{\Omega} \mathbf{B}^T \mathbf{D}\mathbf{B} \, d\Omega \mathbf{a} = \mathbf{c}^T \int_{\Omega} \mathbf{N}^T \mathbf{b} \, d\Omega + \mathbf{c}^T \int_{\Gamma_N} \mathbf{N}^T \mathbf{t} \, d\Gamma, \quad \forall \mathbf{c}$$

$\mathbf{c}$  cancels out and we can write this as a system of equations



## Finally, the system of equations looks like

Find the nodal displacements  $\mathbf{a}$  that satisfy

$$\mathbf{c}^T \int_{\Omega} \mathbf{B}^T \mathbf{D} \mathbf{B} \, d\Omega \mathbf{a} = \mathbf{c}^T \int_{\Omega} \mathbf{N}^T \mathbf{b} \, d\Omega + \mathbf{c}^T \int_{\Gamma_N} \mathbf{N}^T \mathbf{t} \, d\Gamma, \quad \forall \mathbf{c}$$

⇓

Find  $\mathbf{a}$  such that:

$$\mathbf{K} \mathbf{a} = \mathbf{f}$$

with

$$\mathbf{K} = \int_{\Omega} \mathbf{B}^T \mathbf{D} \mathbf{B} \, d\Omega, \quad \mathbf{f} = \int_{\Omega} \mathbf{N}^T \mathbf{b} \, d\Omega + \int_{\Gamma_N} \mathbf{N}^T \mathbf{t} \, d\Gamma$$

where

- evaluation of integrals needs to be worked out
- we still need to account for displacement boundary conditions

## We evaluate $\mathbf{K}$ and $\mathbf{f}$ element by element

For the stiffness matrix:

$$\mathbf{K} = \int_{\Omega} \mathbf{B}^T \mathbf{D} \mathbf{B} \, d\Omega = \sum_{ie=1}^{ne} \int_{\Omega^e} \mathbf{B}^T \mathbf{D} \mathbf{B} \, d\Omega = \mathbf{A} \int_{\Omega^e} \mathbf{B}_e^T \mathbf{D} \mathbf{B}_e \, d\Omega$$

where

- $\Omega_e$  is the domain of the element
- $\mathbf{B}_e$  contains only the part of  $\mathbf{B}$  that is nonzero in the element
- $\mathbf{A}$  takes care of putting the element matrix in the right global position

Similarly, for the force vector:

$$\mathbf{f} = \mathbf{A} \left( \int_{\Omega_e} \mathbf{N}_e^T \mathbf{b} \, d\Omega + \int_{\Gamma_{N,e}} \mathbf{N}_e^T \mathbf{t} \, d\Gamma \right)$$

where

- $\Gamma_{N,e}$  is the part of the element boundary where external tractions are applied
- $\Gamma_{N,e}$  is empty for most elements

## Isoparametric mapping is used for integration over elements

A mapping from local to global coordinates is introduced:

$$x(\xi, \eta) = N_i(\xi, \eta)x_i, \quad y = N(\xi, \eta)_i y_i$$

where  $\xi$  and  $\eta$  are the natural coordinates of the reference element

For a 2D quadrilateral element integration is then performed as:

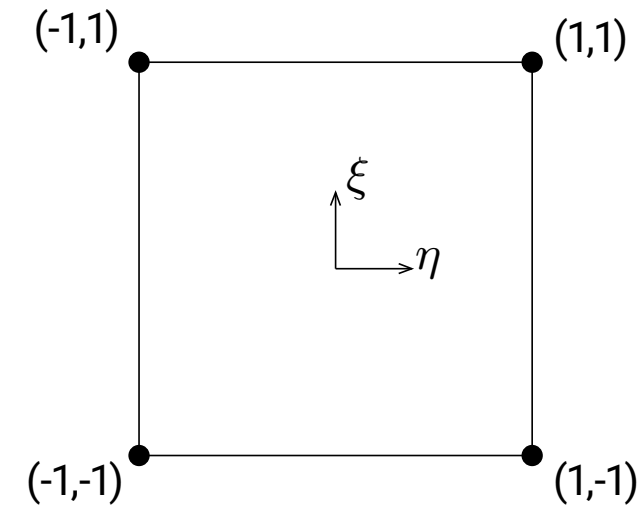
$$\int_{\Omega^e} \mathbf{B}^T \mathbf{D} \mathbf{B} d\Omega = \int_{-1}^1 \int_{-1}^1 \mathbf{B}^T \mathbf{D} \mathbf{B} j d\xi d\eta$$

where  $j$  is the determinant of the Jacobian matrix  $\mathbf{J}$ :

$$\mathbf{J} = \begin{bmatrix} x_{,\xi} & y_{,\xi} \\ x_{,\eta} & y_{,\eta} \end{bmatrix} = \begin{bmatrix} N_{i,\xi} x_i & N_{i,\xi} y_i \\ N_{i,\eta} x_i & N_{i,\eta} y_i \end{bmatrix}$$

The Jacobian matrix is also used to evaluate shape function gradients in  $\mathbf{B}$ :

$$\begin{Bmatrix} N_{i,x} \\ N_{i,y} \end{Bmatrix} = \mathbf{J}^{-1} \begin{Bmatrix} N_{i,\xi} \\ N_{i,\eta} \end{Bmatrix}$$



## The integrals are evaluated with numerical integration

A finite set of integration point is selected:

$$\int_{-1}^1 \int_{-1}^1 \mathbf{B}^T \mathbf{D} \mathbf{B} j \, d\xi \, d\eta \approx \sum_{ip=1}^{np} \mathbf{B}^T \mathbf{D} \mathbf{B} j w_{ip}$$

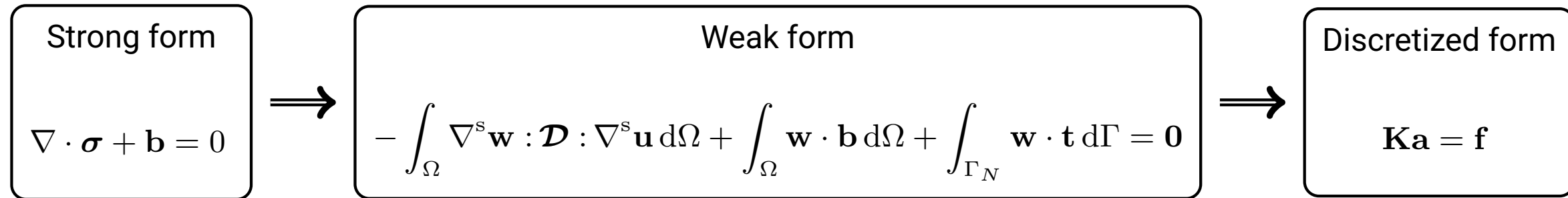
where

- $\mathbf{B}$  and  $j$  are evaluated at the integration point  $(\xi_{ip}, \eta_{ip})$
- $w_{ip}$  is the integration point weight
- $j w_{ip}$  is a measure for the area associated with the integration point

Similarly, for the force vector:

$$\int_{-1}^1 \int_{-1}^1 \mathbf{N}^T \mathbf{b} j \, d\eta \, d\xi \approx \sum_{ip=1}^{np} \mathbf{N}^T \mathbf{b} j w_{ip}$$

With all this, the PDE is approximated as a system of equations

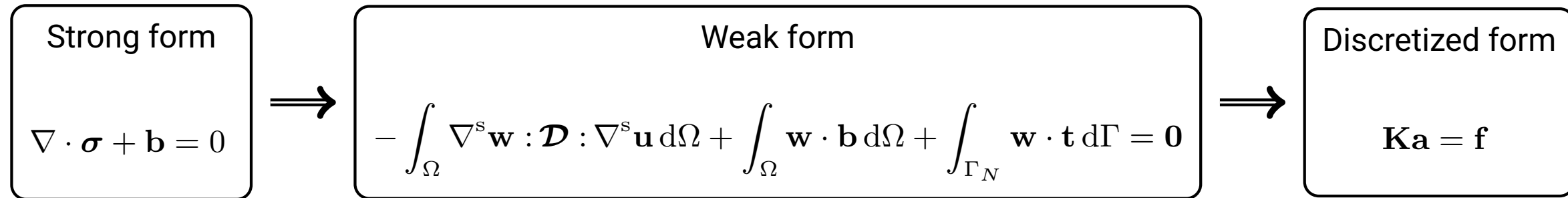


where

- $\mathbf{a}$  is the vector with nodal displacements,  $\mathbf{K}$  the stiffness matrix and  $\mathbf{f}$  the force vector

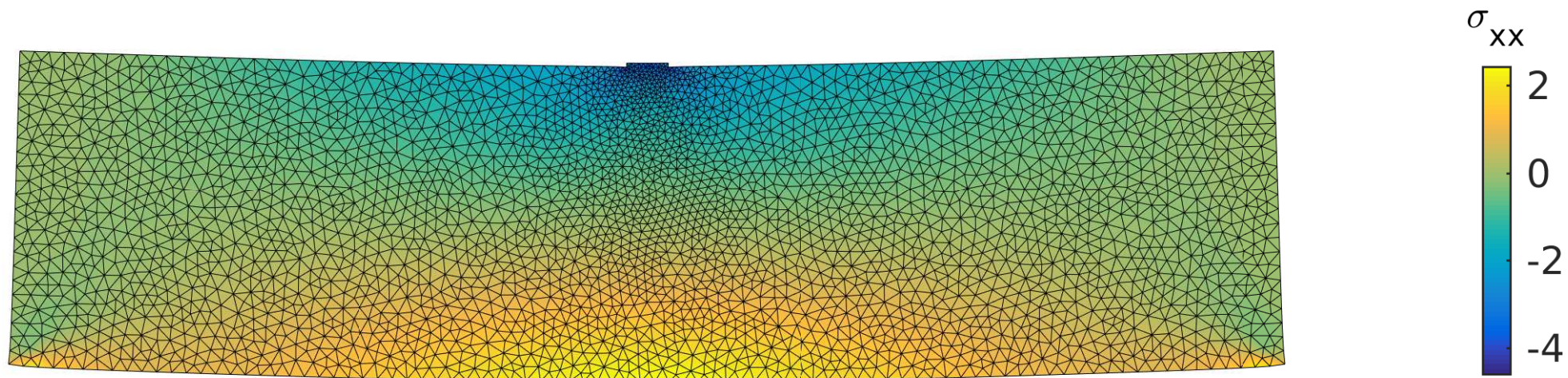
$$\mathbf{K} = \int \mathbf{B}^T \mathbf{D} \mathbf{B} \, d\Omega, \quad \mathbf{f} = \int \mathbf{N}^T \mathbf{b} \, d\Omega + \int \mathbf{N}^T \mathbf{t} \, d\Gamma$$

With all this, the PDE is approximated as a system of equations



where

- $\mathbf{a}$  is the vector with nodal displacements,  $\mathbf{K}$  the stiffness matrix and  $\mathbf{f}$  the force vector
- displacement BCs are treated by eliminating prescribed values for  $\mathbf{a}$
- once  $\mathbf{a}$  is known, stress and strain fields can be computed



# Discussion

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