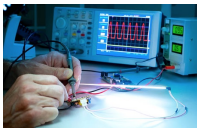
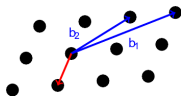


# Post-Quantum Algorithms and Side-Channel Countermeasures

Jean-Sébastien Coron

University of Luxembourg

- Post-quantum algorithms:
  - Overview of algorithms believed to be secure against quantum adversaries.
  - The Kyber and Dilithium lattice-based algorithms.
- Side-channel attacks
  - The threat of side-channel attacks
  - Relevance for Kyber and Dilithium
- Side-channel countermeasures
  - Security model for high-order security
  - Conversion between Boolean and arithmetic masking
  - Application to Kyber and Dilithium



# Why post-quantum cryptography

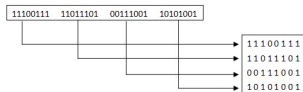
- Public-key cryptography is based on hard problems
  - RSA: hardness of factoring  $N = pq$
  - ECC: hardness of finding  $d$  in  $P = d.G$
  - We don't know any classical algorithm that can efficiently solve these problems.
  - but these problems are broken by a quantum computer
- Post-quantum hardness
  - In the quantum era, a problem should remain hard even when attacked by both classical and quantum computers.
  - Fortunately, we know many such problems !



# Families of post-quantum schemes

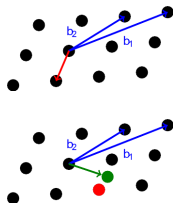
- Code-based Cryptography (McEliece, 1978)

- Relies on the hardness of decoding a general linear code
- McEliece's encryption scheme.
- Large key size



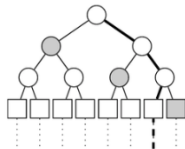
- Lattice-based cryptography

- Based on the difficulty of certain problems in lattices (SVP and CVP)
- NTRU (1996), a very fast public-key encryption scheme.



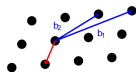
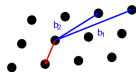
# Families of post-quantum schemes (2)

- Multivariate cryptography
  - Matsumoto-Imai  $C^*$  scheme (1988), HFE [P96]
  - Security relies on the difficulty of solving systems of multivariate polynomial equations.
  - Short signatures
- Hash-based cryptography (Lamport, 1979)
  - Based on the security of cryptographic hash functions.
  - Mostly used for digital signatures.



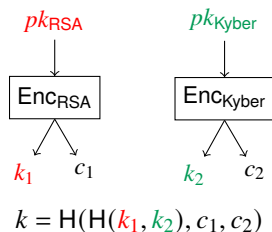
# NIST's PQC standardization

- The NIST competition: encryption/KEM and signatures
  - Round 1 (2017): 82 submissions. Round 2: 26 2nd round candidates. Round 3: 7 finalists and 8 alternates.
- 3rd round KEM selection (July 2022):
  - Kyber (draft standard August 2023)
- 3rd round Signature selection (July 2022)
  - Dilithium (draft standard August 2023)
  - Falcon
- SPHINCS+



# Transition to post-quantum algorithms

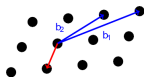
- Hybrid cryptography
  - Use of two (or more) cryptographic systems together.
  - During the transition to PQC, use both classical and PQC algorithms for robust security.
  - Recommended by ANSSI and BSI for transition phase
- Key establishment (KEM)
  - Derive a session key dependent on both classical and PQC algorithms.



- Signature
  - Concatenate the classical and PQC signatures
  - Signature is verified if *both* signatures are verified.

# Kyber: post-quantum KEM

- Kyber
  - Key encapsulation mechanism (KEM) based on lattice-based cryptography.
  - Goal: provide security level equivalent or better than RSA, ECC, but resistant to quantum attacks.
  - Security based on the hardness of certain problems in ideal lattices: Module Learning With Errors (MLWE) problem.
- Performance:
  - Designed to have relatively small key sizes and ciphertexts, and to be computationally efficient.

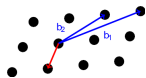




# Dilithium and Falcon signature schemes

- Dilithium and Falcon

- Both based on lattice-based cryptography, for security against quantum attacks
- Dilithium: Fiat-Shamir with abort on module lattices.
- Falcon: hash-and-sign on NTRU lattices
- Both designed to be efficient with relatively small key and signature sizes

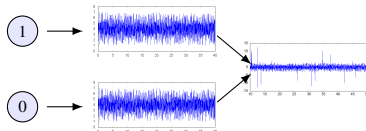


- Comparison

- Falcon provides smaller key and signature sizes than Dilithium.
- But Falcon requires Gaussian sampling, which is hard to secure against side-channel attacks.
- Dilithium recommended by NIST to be the primary signature algorithm.

# The challenge of side-channel attacks

- Side-channel attacks
  - Timing attacks, power analysis attacks, electromagnetic attacks...

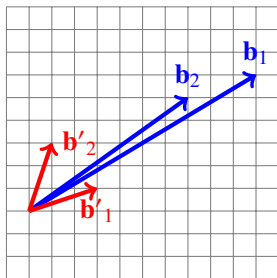


- PQC and side-channel attacks:
  - PQC algorithms, like all cryptographic systems, are susceptible to side-channel attacks.
  - Side-channel resistance less well understood and more challenging.



# Hard lattice problems in cryptography

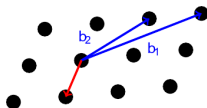
- Lattice
  - Regular grid of points in multidimensional space, defined by a basis of vectors.
- Shortest Vector Problem (SVP)
  - Given a lattice basis, find the shortest non-zero vector.
  - Believed to be hard even for quantum computers.
  - LLL algorithm provides an approximation in polynomial-time.



— Original basis  
— Reduced basis

# Hard lattice problems in cryptography

- Learning With Errors (LWE):
  - Given  $\vec{A} \in \mathbb{Z}_q^{\ell \times n}$  such that  $\vec{A} \cdot \vec{s} = \vec{e}$  for small  $\vec{e}$ , recover  $\vec{s}$ .
- Ring-LWE and Module-LWE:
  - Variant of LWE where the secret and errors come from a polynomial ring.
  - Offers efficiency advantages.
- Significance:
  - Reduction from worst-case lattice problems.
  - Believed to be hard against both classical and quantum adversaries.



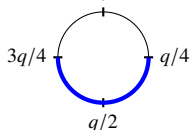
# LWE-based encryption [R05]

- Key generation
  - Secret-key:  $\vec{s} \in (\mathbb{Z}_q)^n$
- Encryption of  $m \in \{0, 1\}$ 
  - A vector  $\vec{c} \in \mathbb{F}_q$  such that
$$\langle \vec{c}, \vec{s} \rangle = e + m \cdot \lfloor q/2 \rfloor \pmod{q}$$

for a small error  $e$ .

The diagram shows a green horizontal bar representing vector  $\vec{c}$  with three segments. To its right is a red vertical bar representing vector  $\vec{s}$  with three segments. A dot operator  $\cdot$  is between them, followed by an equals sign and a single red square representing the result  $e + m \cdot \lfloor q/2 \rfloor \pmod{q}$ . The labels  $\vec{c}$ ,  $\vec{s}$ , and the result are in green, red, and red respectively.

- Decryption
  - Compute  $m = \text{th}(\langle \vec{c}, \vec{s} \rangle \pmod{q})$
  - where  $\text{th}(x) = 1$  if  $x \in (q/4, 3q/4)$ , and 0 otherwise.



# LWE-based public-key encryption

- Key generation
  - Secret-key:  $\vec{s} \in (\mathbb{Z}_q)^n$ , with  $s_1 = 1$ .
  - Public-key:  $\vec{A}$  such that  $\vec{A} \cdot \vec{s} = \vec{e}$  for small  $\vec{e}$ 
    - Every row of  $\vec{A}$  is an LWE encryption of 0.
- Encryption of  $m \in \{0, 1\}$

$$\vec{c} = \vec{u} \cdot \vec{A} + (m \cdot \lfloor q/2 \rfloor, 0, \dots, 0)$$

- for a small  $\vec{u}$

The diagram illustrates the encryption process. On the left, a red horizontal vector  $\vec{u}$  with four cells is multiplied by a green square matrix  $\vec{A}$  with four rows and three columns. This is followed by a plus sign and a red horizontal vector  $\lfloor \frac{q}{2} \rfloor \cdot (m, 0, 0)$  with three cells. The result is an equals sign followed by a green horizontal vector  $\vec{c}$  with three cells.

- Decryption
  - Compute  $m = \text{th}(\langle \vec{c}, \vec{s} \rangle \bmod q)$

# RLWE-based schemes

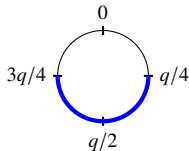
- RLWE-based scheme
  - We replace  $\mathbb{Z}_q$  by the polynomial ring  $R_q = \mathbb{Z}_q[x] / \langle x^\ell + 1 \rangle$ , where  $\ell$  is a power of 2.
  - Addition and multiplication of polynomials are performed modulo  $x^\ell + 1$  and prime  $q$ .
  - We can take  $m \in R_2 = \mathbb{Z}_2[x] / \langle x^\ell + 1 \rangle$  instead of  $\{0, 1\}$ : more bandwidth.
- Ring Learning with Error (RLWE) assumption
  - $t = a \cdot s + e$  for small  $s, e \leftarrow R$
  - Given  $t, a$ , it is difficult to recover  $s$ .

# RLWE-based public-key encryption

- Key generation
  - $t = a \cdot s + e$  for random  $a \leftarrow R_q$  and small  $s, e \leftarrow R$ .
- Public-key encryption of  $m \in R_2$ 
  - $c = (a \cdot r + e_1, t \cdot r + e_2 + \lfloor q/2 \rfloor m)$ , for small  $e_1, e_2$  and  $r$ .
- Decryption of  $c = (u, v)$ 
  - Compute  $m = \text{th}(v - s \cdot u)$

$$\begin{aligned}v - s \cdot u &= t \cdot r + e_2 + \lfloor q/2 \rfloor m - s \cdot (a \cdot r + e_1) \\&= (t - a \cdot s) \cdot r + e_2 + \lfloor q/2 \rfloor m - s \cdot e_1 \\&= \lfloor q/2 \rfloor m + \underbrace{e \cdot r + e_2 - s \cdot e_1}_{\text{small}}\end{aligned}$$

- $m \in R_2 = \mathbb{Z}_2[x] / \langle x^\ell + 1 \rangle$ : more bandwidth.





# The Kyber scheme

- Polynomial operations:
  - Polynomial ring  $\mathbb{Z}_q[X]/(X^{256} + 1)$  with prime  $q = 3329 = 2^{12} - 2^9 - 2^8 + 1$ .
  - Use  $k$ -vectors and  $k \times k$ -matrices of ring elements
  - Kyber512:  $k = 2$ , Kyber768:  $k = 3$ , Kyber1024:  $k = 4$
- Module Learning with Error (M-LWE)
  - $\mathbf{t} = \mathbf{A} \cdot \mathbf{s} + \mathbf{e}$ , for a small error  $\mathbf{e}$
  - Given  $\mathbf{t}, \mathbf{A}$ , difficult to recover  $\mathbf{s}$ .

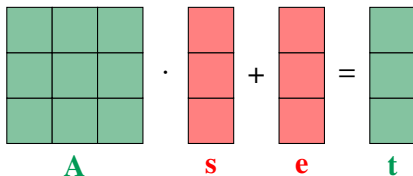
$$\mathbf{A} \cdot \mathbf{s} + \mathbf{e} = \mathbf{t}$$

The diagram shows a 3x3 green matrix labeled  $\mathbf{A}$ , a 3x1 red vector labeled  $\mathbf{s}$ , a 3x1 red vector labeled  $\mathbf{e}$ , and a 3x1 green vector labeled  $\mathbf{t}$ . The operations are represented by a dot ( $\cdot$ ), a plus sign ( $+$ ), and an equals sign ( $=$ ).

# Kyber key generation

## Key generation

- Generate matrix  $\mathbf{A}$  and small vectors  $\mathbf{s}$  and  $\mathbf{e}$
- Compute  $\mathbf{t} = \mathbf{A} \cdot \mathbf{s} + \mathbf{e}$ 
  - Secret key :  $\mathbf{s}$
  - Public key :  $(\mathbf{A}, \mathbf{t})$


$$\begin{matrix} \square & \square & \square \\ \square & \square & \square \\ \square & \square & \square \end{matrix} \cdot \begin{matrix} \square \\ \square \\ \square \end{matrix} + \begin{matrix} \square \\ \square \\ \square \end{matrix} = \begin{matrix} \square \\ \square \\ \square \end{matrix}$$

$\mathbf{A}$                        $\mathbf{s}$                        $\mathbf{e}$                        $\mathbf{t}$

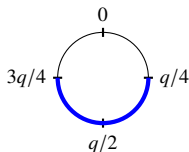
# Kyber basic encryption

Encryption of a binary message  $m \in \mathbb{Z}[X]/(X^{256} + 1)$

- Generate small vectors  $\mathbf{r}$  and  $\mathbf{e}_1$ , and small polynomial  $e_2$
- Compute  $\mathbf{u} = \mathbf{A}^T \cdot \mathbf{r} + \mathbf{e}_1$
- Compute  $\mathbf{v} := \mathbf{t}^T \cdot \mathbf{r} + e_2 + \lfloor q/2 \rfloor m$ 
  - Ciphertext :  $(\mathbf{u}, \mathbf{v})$

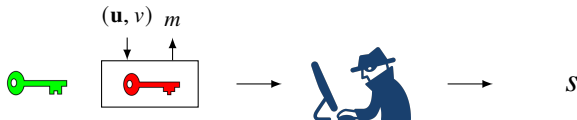
## Decryption

- Compute  $m = \text{th}(\mathbf{v} - \mathbf{s}^T \cdot \mathbf{u})$ 
  - where  $\text{th}(x) = 1$  if  $x \in (q/4, 3q/4)$ , and 0 otherwise.



# From CPA to CCA security: FO transform

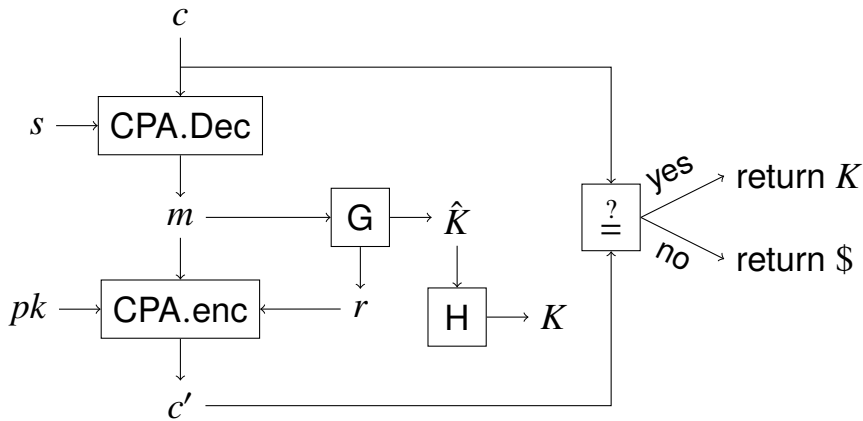
- Chosen Plaintext Attack (CPA) security
  - The previous scheme is CPA secure: given only the public-key, a ciphertext reveals no information on the plaintext.
- Chosen Ciphertext Attack (CCA) insecurity
  - The previous scheme is not CCA secure
  - By submitting a series of ciphertexts  $(\mathbf{u}, v)$  and getting the corresponding  $m$ , one can recover the secret  $s$ .



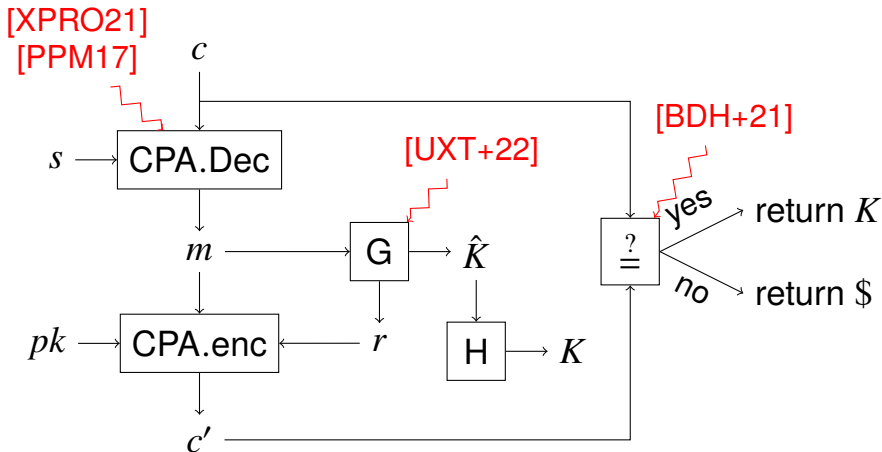
- CCA-security: Fujisaki-Okamoto transform
  - Generic transformation from CPA to CCA-security.
  - Verify correct decryption by re-encrypting the message and comparing the ciphertexts.

# IND-CCA decryption with the FO transform

- Given the ciphertext  $c$ , the secret-key  $s$  and the public-key  $pk$ , recover the session key  $K$

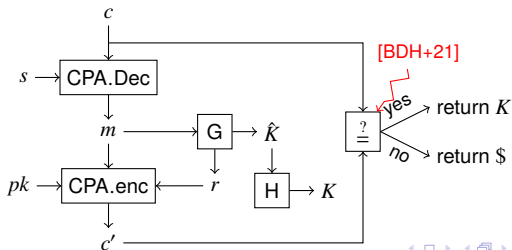


# Side-channel attacks on the FO transform



# Side channel attack on the FO transform [BDH+21]

- Without the FO transform, decryption failure attack
  - We submit perturbed ciphertexts  $\vec{c}' = \vec{c} + \delta$
  - We obtain a plaintext  $m'$  and compare to  $m$ : plaintext checking oracle.
  - We can recover  $\langle \vec{c}, \vec{s} \rangle$  by binary search, and eventually  $\vec{s}$
- With FO, template attack against ciphertext comparison
  - The SCA gives us a plaintext checking oracle.
  - Use the above attack and recover the key

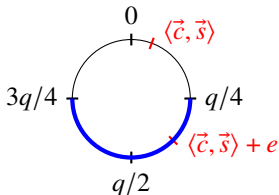


# Decryption failure attack against CPA scheme

- Decryption failure attack without the FO transform
  - Assume that an attacker can submit a LWE ciphertext  $\vec{c}$  and obtain  $m = \text{th}(\langle \vec{c}, \vec{s} \rangle \bmod q)$
  - Submit a perturbed ciphertext with a small error

$$\vec{c}' = \vec{c} + (e, 0, \dots, 0)$$

- Get  $m' = \text{th}(\langle \vec{c}', \vec{s} \rangle) = \text{th}(\langle \vec{c}, \vec{s} \rangle + e)$  (with  $s_1 = 1$ ).



- Key recovery
  - Recover the value of  $\langle \vec{c}, \vec{s} \rangle \bmod q$  by binary search.
  - With  $n$  such equations, recover  $\vec{s}$

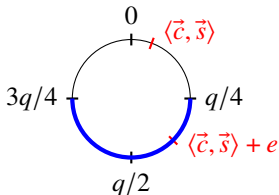


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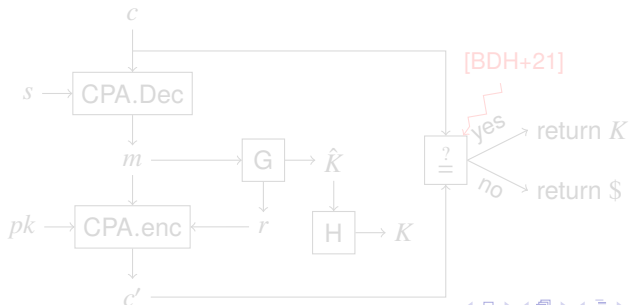
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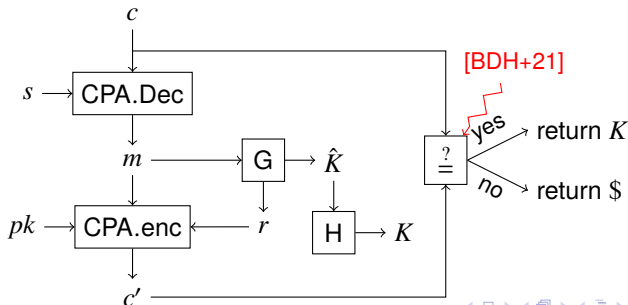
# SCA on FO transform

- The previous attack is prevented by the FO transform
  - But one can perform a SCA on the FO transform !
- Decryption failure attack on ciphertext comparison
  - Submit a perturbed ciphertext  $\tilde{c} = c + \delta$
  - If still decrypts to the same  $m$ , then re-encrypted  $c'$  and  $\tilde{c}$  will differ on a single coefficient
  - otherwise they will differ on *all* coefficients
  - can be distinguished by SCA [BDH+21]



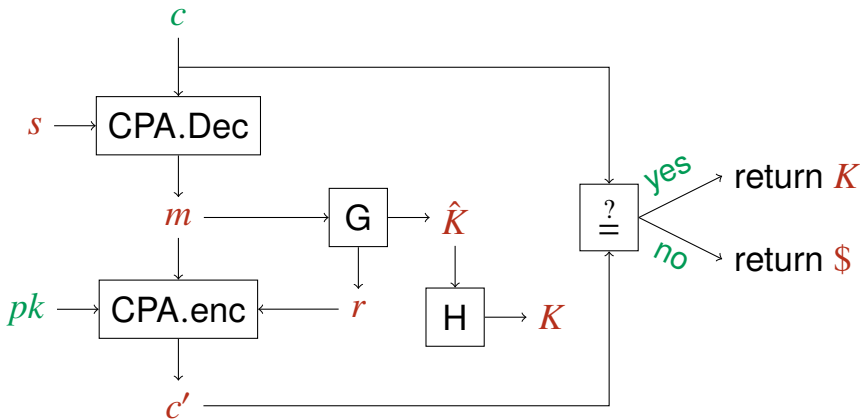
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  - otherwise they will differ on *all* coefficients
  - can be distinguished by SCA [BDH+21]



# Full masking of IND-CCA decryption

- The variables  $s$ ,  $m$ ,  $c'$ ,  $\hat{K}$  and  $K$  must be masked.
  - The operations CPA.Dec, CPA.enc, G, H and  $\stackrel{?}{=}$  must also be masked.



# Higher-Order Masking

## Basic principle

Each sensitive variable  $x$  is shared into  $n$  variables:

$$x = x_1 \oplus x_2 \oplus \dots \oplus x_n$$

- Generate  $n - 1$  random variables  $x_1, x_2, \dots, x_{n-1}$
- Initially let  $x_n = x \oplus x_1 \oplus x_2 \oplus \dots \oplus x_{n-1}$

## Security against DPA attack of order $n - 1$

- Any subset of  $n - 1$  shares is uniformly and independently distributed
- ⇒ If we probe at most  $n - 1$  shares  $x_i$ , we learn nothing about  $x$

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 $\Rightarrow$  If we probe at most  $n - 1$  shares  $x_i$ , we learn nothing about  $x$

# High-order masking of Boolean circuits

## Ishai-Sahai-Wagner private circuit [ISW03]

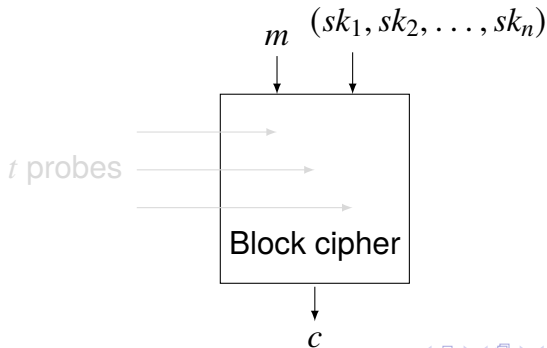
- The adversary can probe any subset of at most  $t$  wires
- Algorithm to transform any Boolean circuit  $C$  of size  $|C|$  into a circuit of size  $O(|C| \cdot t^2)$  that is perfectly secure against such an adversary.
- Any Boolean circuit can be written with only Xor gates  $c = a \oplus b$  and And gates  $c = a \times b$ .
  - High-order masking of  $c = a \oplus b$ : easy since linear.
  - High-order masking of  $c = a \times b$ : ISW multiplication gadget.
- Generic ISW transform
  - Not very adequate for lattice-based algorithms combining arithmetic and Boolean operations.

# ISW security model

- The  $t$ -probing model
  - Protected block-cipher takes as input  $n = 2t + 1$  shares  $sk_i$  of the secret key  $sk$ , with

$$sk = sk_1 \oplus \dots \oplus sk_n$$

- Prove that even if the attacker probes  $t$  variables in the block-cipher, he learns nothing about the secret-key  $sk$ .



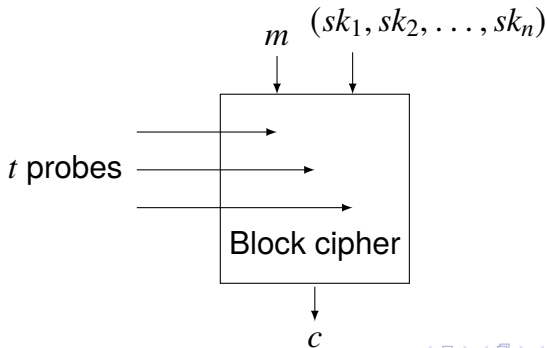


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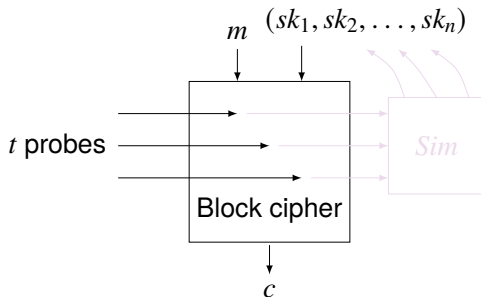
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# ISW security model

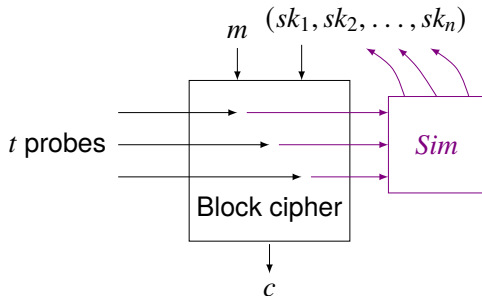
- Simulation framework of [ISW03]:



- Show that any  $t$  probes can be perfectly simulated from at most  $n - 1$  of the  $sk_i$ 's.
- Those  $n - 1$  shares  $sk_i$  are initially uniformly and independently distributed.
- $\Rightarrow$  the adversary learns nothing from the  $t$  probes, since he could simulate those  $t$  probes by himself.

# ISW security model

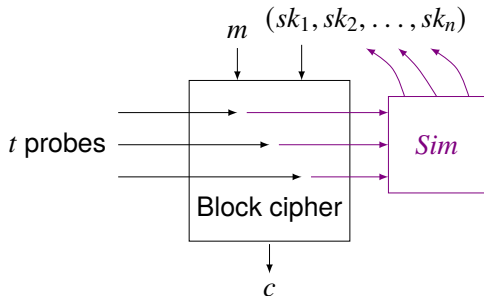
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- Those  $n - 1$  shares  $sk_i$  are initially uniformly and independently distributed.
- $\Rightarrow$  the adversary learns nothing from the  $t$  probes, since he could simulate those  $t$  probes by himself.

# ISW security model

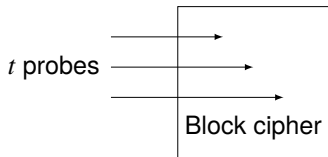
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- Show that any  $t$  probes can be perfectly simulated from at most  $n - 1$  of the  $sk_i$ 's.
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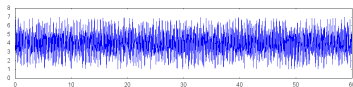
# Probing Model vs. Reality

- Probing model
  - The attacker can choose at most  $t$  variables
  - He learns the value of those  $t$  variables.
- Reality with power attack
  - The attacker gets a sequence of power consumptions correlated to the variables.
  - Noisy leakage but not limited to  $t$  variables



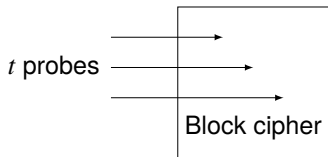
Probing model

Real life leakage



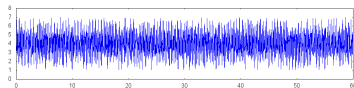
# Relevance of probing model

- $t$ -probing model
  - With security against  $t$  probes, combining  $t$  power consumption points as in a  $t$ -th order DPA will reveal no information to the adversary.
  - To recover the key, attacker must perform an attack of order at least  $t + 1 \Rightarrow$  more complex.



Probing model

Real life leakage



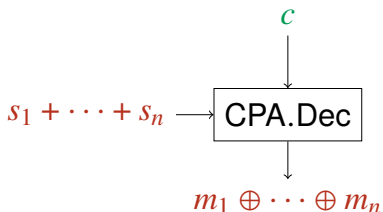
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Never publish a high-order masking scheme without a proof of security !

- So many things can go wrong.
- Many countermeasures without proofs have been broken in the past.
- We have a poor intuition of high-order security.

# Masking Kyber CPA decryption



- Arithmetic masking

$$s = s_1 + \dots + s_n \pmod{q}$$

- Boolean masking

$$m = m_1 \oplus \dots \oplus m_n \in \{0, 1\}$$

- High-order masking

- We must process the shares  $s_i$  of  $s$  independently
- without leaking information about  $s$  and  $m$



# Masking Kyber CPA decryption

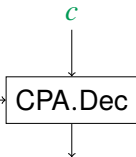
- Kyber CPA decryption

- $m = \text{th}(v - \mathbf{s}^T \cdot \mathbf{u})$  for  $c = (\mathbf{u}, v)$ .

- Write  $\mathbf{s} = \mathbf{s}_1 + \dots + \mathbf{s}_n \pmod{q}$

$$\mathbf{s}^T \cdot \mathbf{u} = \sum_{i=1}^n \mathbf{s}_i^T \cdot \mathbf{u}$$

$$s_1 + \dots + s_n \rightarrow$$



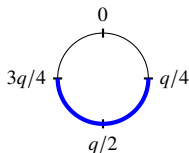
$$m_1 \oplus \dots \oplus m_n$$

$$\Rightarrow v - \mathbf{s}^T \cdot \mathbf{u} = w_1 + \dots + w_n \pmod{q}$$

- each  $w_i$  is computed independently from  $\mathbf{s}_i$

- How to high-order compute ?

$$m_1 \oplus \dots \oplus m_n = \text{th}(w_1 + \dots + w_n \pmod{q})$$

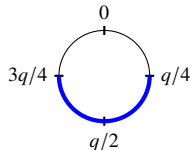


# Arithmetic vs Boolean conversion

- How to high-order compute ?

$$m_1 \oplus \cdots \oplus m_n = \text{th}(w_1 + \cdots + w_n \bmod q)$$

- If  $q = 2^k$ , then  $m_1 \oplus \cdots \oplus m_n$  is MSB of  $w_1 + \cdots + w_n \bmod q$



- Arithmetic vs Boolean masking conversions
  - We first convert from arithmetic to Boolean conversion

$$x_1 \oplus \cdots \oplus x_n = w_1 + \cdots + w_n \bmod q$$

- We extract the MSB

$$m_1 \oplus \cdots \oplus m_n = \text{MSB}(x_1 \oplus \cdots \oplus x_n)$$

# Boolean vs arithmetic masking

- Conversion between Boolean and arithmetic masking

$$x_1 \oplus \cdots \oplus x_n = w_1 + \cdots + w_n \bmod 2^k$$

	Direction	First-order complexity	High-order complexity
Goubin's algorithm [Gou01]	B $\rightarrow$ A	$O(1)$	-
	A $\rightarrow$ B	$O(k)$	-
[CGV14]	B $\rightarrow$ A	-	$O(n^2 \cdot k)$
	A $\rightarrow$ B	-	$O(n^2 \cdot k)$
[BCZ18]	B $\rightarrow$ A	-	$O(2^n)$

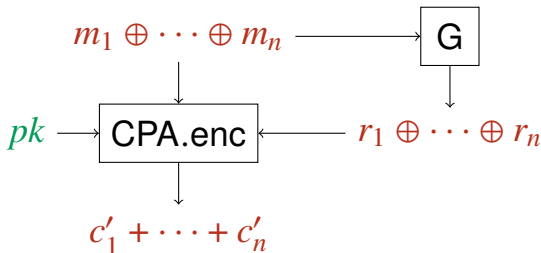
# Masking Kyber CPA decryption

- How to high-order compute with prime  $q$  ?

$$m_1 \oplus \cdots \oplus m_n = \text{th}(w_1 + \cdots + w_n \bmod q)$$

- Conversion from  $A \bmod q$  to Boolean + secure ANDs [BGR+21]
  - $A \bmod q \rightarrow B$  [BBE+18] + 4 secure ANDs.
  - Complexity:  $O(n^2 \log q)$
- Modulus switching to modulo  $2^k$ , then A to B [CGMZ22]
  - $A \bmod q \rightarrow A \bmod 2^k \rightarrow B$ .
  - Complexity  $O(n^2 \log n)$ .

# High-order masking of Kyber.Decaps



- Masking Kyber re-encryption
  - Binomial sampling with  $e = H_w(x) - H_w(y)$
  - 1-bit  $B \rightarrow A \bmod q$ , complexity  $O(n^2)$  [SPOG19]
- Masking the polynomial comparison
  - Hybrid approach with masked compressed coefficients and masked uncompressed coefficients [CGMZ23]
  - Complexity  $O(n^2)$

# Fully masked implementation of Kyber

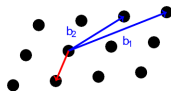
	Security order $t$							
	0	1	2	3	4	5	6	7
Intel i7	133	1 164	2 225	4 723	6 613	11 177	14 174	19 806
ARM Cortex-M3	3 173	21 492	39 539	69 348	-	-	-	-

**Table:** Kyber.Decaps cycles counts on Intel(R) Core(TM) i7-1065G7 and ARM Cortex-M3, in thousands of cycles.

# The Dilithium signature scheme

- Dilithium

- Lattice-based signature scheme, selected by NIST for standardization.
- Security based on the hardness of the Module-Learning-With-Errors (MLWE) and the Module Short Integer Solution (MSIS) problems.
- Fiat-Shamir with Aborts technique [L09]



- Key generation (simplified)

- Compute  $t = \mathbf{A} \cdot \mathbf{s}_1 + \mathbf{s}_2$
- Public-key:  $(\mathbf{A}, t)$ , secret-key:  $(\mathbf{s}_1, \mathbf{s}_2)$

# Overview of Dilithium (without pk compression)

- Signature generation
  - $\mathbf{y} \leftarrow D$ , with uniform small coefficients
  - $\mathbf{w} = \mathbf{A} \cdot \mathbf{y}$
  - $(\mathbf{w}_0, \mathbf{w}_1) = \text{Decompose}_q(\mathbf{w})$ ,  $c = H(M \parallel \mathbf{w}_1)$
  - $\mathbf{z} = \mathbf{y} + c\mathbf{s}_1$ ,  $\tilde{\mathbf{r}} = \mathbf{w}_0 - c\mathbf{s}_2$
  - Rejection sampling on  $\|\mathbf{z}\|_\infty$  and  $\|\tilde{\mathbf{r}}\|_\infty$
  - Return  $(\mathbf{z}, c)$
- Signature verification of  $(\mathbf{z}, c)$ 
  - $\mathbf{w}'_1 = \text{HighBits}(\mathbf{A}\mathbf{z} - c\mathbf{t})$
  - Check  $\|\mathbf{z}\|_\infty$  and  $c = H(M \parallel \mathbf{w}'_1)$

$$\mathbf{A}\mathbf{z} - c\mathbf{t} = \mathbf{A}(\mathbf{y} + c\mathbf{s}_1) - c(\mathbf{A}\mathbf{s}_1 + \mathbf{s}_2) = \mathbf{A}\mathbf{y} - c\mathbf{s}_2 \simeq \mathbf{A}\mathbf{y}$$



# Side-channel attacks on Dilithium

- Key generation
  - $t = \mathbf{A} \cdot \mathbf{s}_1 + \mathbf{s}_2$
- Signature generation
  - $\mathbf{y} \leftarrow D$ , with uniform small coefficients
  - $\mathbf{w} = \mathbf{A} \cdot \mathbf{y}$
  - $(\mathbf{w}_0, \mathbf{w}_1) = \text{Decompose}_q(\mathbf{w})$
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  - Return  $(\mathbf{z}, c)$

# Side-channel attacks on Dilithium

- Key generation

- $t = \mathbf{A} \cdot \mathbf{s}_1 + \mathbf{s}_2 \leftarrow [\text{HLK+21}]$

- Signature generation

- $\mathbf{y} \leftarrow D$ , with uniform small coefficients  $\leftarrow [\text{MUTS22}]$

- $\mathbf{w} = \mathbf{A} \cdot \mathbf{y}$

- $(\mathbf{w}_0, \mathbf{w}_1) = \text{Decompose}_q(\mathbf{w}) \leftarrow [\text{BVC+23}]$

- $c = H(M \parallel \mathbf{w}_1)$

- $\mathbf{z} = \mathbf{y} + c\mathbf{s}_1 \leftarrow [\text{CKA+21}]$

- $\tilde{\mathbf{r}} = \mathbf{w}_0 - c\mathbf{s}_2$

- Rejection sampling on  $\|\mathbf{z}\|_\infty$  and  $\|\tilde{\mathbf{r}}\|_\infty$

- Return  $(\mathbf{z}, c)$

# High-order masking of Dilithium

- The variables  $\mathbf{s}_1$ ,  $\mathbf{s}_2$ ,  $\mathbf{y}$ ,  $\mathbf{w}_0$ ,  $\tilde{\mathbf{r}}$  must be masked.
  - $\mathbf{z}$  must also be masked before rejection sampling.
- Key generation
  - $t = \mathbf{A} \cdot \mathbf{s}_1 + \mathbf{s}_2$
- Signature generation
  - $\mathbf{y} \leftarrow D$ , with uniform small coefficients
  - $\mathbf{w} = \mathbf{A} \cdot \mathbf{y}$
  - $(\mathbf{w}_0, \mathbf{w}_1) = \text{Decompose}_q(\mathbf{w})$
  - $c = H(M \| \mathbf{w}_1)$
  - $\mathbf{z} = \mathbf{y} + c\mathbf{s}_1$
  - $\tilde{\mathbf{r}} = \mathbf{w}_0 - c\mathbf{s}_2$
  - Rejection sampling on  $\|\mathbf{z}\|_\infty$  and  $\|\tilde{\mathbf{r}}\|_\infty$
  - Return  $(\mathbf{z}, c)$

# Fully masked implementation of Dilithium

	Security order $t$				
	0	1	2	3	4
Dilithium2	506	26602 ( $\times 53$ )	54945 ( $\times 109$ )	101020 ( $\times 200$ )	155025 ( $\times 306$ )
Dilithium3	853	36986 ( $\times 43$ )	83696 ( $\times 98$ )	130590 ( $\times 153$ )	205473 ( $\times 241$ )
Dilithium5	989	38069 ( $\times 38$ )	87809 ( $\times 89$ )	137034 ( $\times 139$ )	201838 ( $\times 204$ )
NTTs	-	304	451	598	732
Sample $y$	-	3034	5135	7890	13127
Compute $Ay$	-	616	916	1121	1515
Decompose	-	13088	32963	52030	84131
$z = y + c \cdot s_1$	-	355	528	641	872
Reject	-	18956	42856	67281	103840
$w - c \cdot s_2$	-	262	390	487	635

- Effect of high-order masking
  - Slow operation (NTT) become fast.
  - Fast operation (Decompose, Reject) become slow.

# Conclusion

- Challenges of protecting post-quantum algorithms against side-channel attacks
  - Side-channel attacks are powerful (template attacks, fault attacks, etc.)
  - Variety of operations in post-quantum algorithms. Boolean vs arithmetic operations.
- New challenges and future work
  - Minimize the complexity penalty in countermeasures.
  - Side-channel friendly schemes: Raccoon signature NIST submission